

CASE NUMBER AP-75,968 TRIAL COURT NUMBER F-07-50318-M

BINDER 1 OF 11 BINDERS

MASTER INDEX

STATE OF TEXAS

VS

WESLEY LYNN RUIZ

FILED IN COURT OF CRIMINAL APPEALS
JUN 1 5 2009

Louise Pearson, Clerk

1	CAUSE NO. F07-50318-M
2	THE STATE OF TEXAS * IN THE DISTRICT COURT
3	vs. * 194TH JUDICIAL DISTRICT
4	WESLEY LYNN RUIZ * DALLAS COUNTY, TEXAS
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8	
9	REPORTER'S RECORD
10	MASTER INDEX
11	Volume 1 of 59 Volume(s)
12	
13	
14	
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19	BE IT REMEMBERED THAT on this the 9th day of
20	November, A.D, 2007, the above-styled and -numbered cause(s)
21	came on for hearing before the HONORABLE ERNEST B. WHITE, III
22	of the 194th Judicial District Court of Dallas County, State
23	of Texas, the following is a true and correct transcription of
24	the proceedings had, to-wit:
25	(Proceedings Reported by Computerized Machine Shorthand)

à . di

1	APPEARANCES
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1	INDEX	
2	(Content of Master Index)	
3		PAGE
4	Volumes by Binders	5
5	Chronological of Veniremen	10
6	Chronological of Witnesses	54
7	Alphabetical of Veniremen	68
8	Alphabetical of Witnesses	72
9	State's Exhibits	75
. 0	Defendant's Exhibits	83
1		
2		
3		
4		
5		
6		
7		
8		
9		
0		
1		
2		
3		
.		
5		

```
1
                      INDEX BY BINDER
 2
     Binder 1 of 11 Binders includes Volume 1
         (Master Index)
 3
 4
 5
     Binder 2 of 11 Binders includes Volumes 2 through 10
         (Pretrial, Juror Qualifications, Voir Dire of Veniremen)
 6
7
8
    Binder 3 of 11 Binders includes Volumes 11 through 20
         (Voir Dire of Veniremen)
9
10
11
     Binder 4 of 11 Binders includes Volumes 21 through 30
12
         (Voir Dire of Veniremen)
13
    Binder 5 of 11 Binders includes Volumes 31 through 35
14
15
         (Voir Dire or Veniremen)
16
    Binder 6 of 11 Binders includes Volumes 36 through 45
17
         (Voir Dire, Pretrial Hearings, Testimony of Witnesses)
18
19
    Binder 7 of 11 Binders includes Volumes 46 through 55
20
21
         (Testimony of Witnesses, Punishment Phase)
22
    Binder 8 of 11 Binders includes Volume 56
23
         (State's Exhibits 1 through 66)
24
25
```

```
1
                      INDEX BY BINDER
2
     Binder 9 of 11 Binders includes Volume 57
 3
         (State's Exhibits 68 through 123)
 4
     Binder 10 of 11 Binders includes Volume 58
5
6
         (State's Exhibits 124 through 198)
7
8
     Binder 11 of 11 includes Volume 59
9
          (Defendant's Exhibits 1 through 65)
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
```

	INDEX	
	Volume 2 OF 59 Volumes	
	PAGE/VOL	
	Proceedings - 11/09/07 4/2	
	Reporter's Certificate 6	
:		
•		
ı		

1	INDEX
2	Volume 3 OF 59 Volumes
3	PAGE/VOL.
4	Proceedings - 11/30/07 4/3
5	Morning Voir Dire Examination - The Court 4
6	Individual Exemptions/Disqualifications
7	Afternoon Voir Dire Examination - The Court 50
8	Individual Exemptions/Disqualifications 60
9	
10	Reporter's Certificate 98
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	

1	INDEX	
2	Volume 4 OF 59 Volumes	
3		AGE/VOL.
4	Proceedings - 12/19/07	. 4/4
5	Arraignment	. 43
6	Reporter's Certificate	. 44
7		
8		
9		
0		
1		
2		
3		
4		
5		
6		
7		
3		
9		
0		
1		
2		
3		
4		
5		

	Volume 5 OF 59 Volumes	
3	PA	GE/VOL
Ł	Proceedings - 01/14/08	4/5
5	VERONICA MONTIEL	
5	Voir Dire Examination by - Mr. Beach	5
7	Dismissed by Agreement	21
3	VIEVEN MOORE	
)	Voir Dire Examination by - Mr. Beach	22
)	Voir Dire Examination by - Mr. Brauchle	52
L	Juror Accepted	81
2	BRIAN PAUL	
3	Voir Dire Examination by - Mr. Beach	83
	Voir Dire Examination by - Mr. Brauchle	105
	State's Acceptance of Juror	124
	Defense's Challenge for Cause	124
ĺ	Challenge Denied	126
į	Defense's Peremptory Strike	126
	RUTH VANDRUFF	
	Voir Dire Examination by - Mr. Beach	127
	Voir Dire Examination by - Mr. Brauchle	152
	State's Acceptance of Juror	175
	Defense's Challenge for Cause	175
	Challenge Denied	178
	Defense's Peremptory Strike	178

214-653-5803

	INDEX	
	Volume 5 OF 59 Volumes Continued	
	PAGE/V	oL.
	Reporter's Certificate 17	9
!		
:		

	Volume 6 OF 59 Volumes	
i	PAG	E/VOL.
l	Proceedings - 01/15/08	4/6
5	JOSE CRUZ	
5	Voir Dire Examination - Mr. Beach	4
7	Dismissed by Agreement	8
8	DOUGLASS SCHNURR	
9	Voir Dire Examination - Mr. Beach	9
0	Dismissed by Agreement	29
1	RICHARD ARNOLD	
2	Voir Dire Examination - Mr. Beach	29
3	Dismissed by Agreement	4 0
4	CARLOS SEPULVEDA	
5	Voir Dire Examination - Mr. Beach	41
6	Voir Dire Examination - Mr. Brauchle	65
7	Juror Accepted	80
3	LEROY KENNEDY	
9	Voir Dire Examination - Mr. Beach	81
0	Dismissed by Agreement	89
L	ALICE COX	
2	Voir Dire Examination - Mr. Beach	90
3	Dismissed by Agreement	97
4		
5	Reporter's Certificate	98

	INDEX	
	Volume 7 OF 59 Volumes	
		E/VOL
	Proceedings - 01/16/08	4/7
C	CYNTHIA CARR	
	Voir Dire Examination - Mr. Beach	4
	Dismissed by Agreement	10
В	BRIAN REID	
	Voir Dire Examination - Mr. Beach	11
	Dismissed by Agreement	14
R	OBERT HART	
	Voir Dire Examination - Mr. Beach	14
	Dismissed by Agreement	16
R	eporter's Certificate	17

1	INDEX	
2	Volume 8 OF 59 Volumes	
3	PAG	E/VOL.
4	Proceedings - 01/17/08	4/8
5	MARY KENNEDY	
6	Voir Dire Examination by - Mr. Beach	4
7	Dismissed by Agreement	13
8	CLIFFORD MITCHELL	
9	Voir Dire Examination by - Mr. Beach	13
L O	Dismissed by Agreement	34
.1	TAMARA FUENTES	
. 2	Voir Dire Examination by - Mr. Beach	34
. 3	Voir Dire Examination by - Mr. Johnson	59
. 4	Juror Accepted	88
. 5	TIMOTHY CHEEK	
. 6	Voir Dire Examination by - Mr. Beach	90
.7	Dismissed by Agreement	92
.8	THOMAS FLANNERY	
. 9	Dismissed by Agreement	93
0	JANICE PETERS	
1	Voir Dire Examination by Mr. Beach	93
2	Dismissed by Agreement	103
3		
24	Reporter's Certificate	105
25		
	Polindo C. Poneka Official Count Donanton	

	Volume 9 OF 59 Volumes	
	PAG	E/VOL.
	Proceedings - 01/22/08	4/9
	COURTNEY SMITH	
İ	Voir Dire Examination - Mr. Beach	4
	Dismissed by Agreement	7
	CAROLYN HART	
	Voir Dire Examination - Ms. Handley	8
	Dismissed by Agreement	18
ļ	JENNIFER YOULE	
i	Voir Dire Examination - Mr. Beach	19
l	Voir Dire Examination - Mr Brauchle	54
l	State's Acceptance of Juror	79
	Defense's Challenge for Cause	79
	Challenge Denied	83
	Defense's Peremptory Strike	84
	STEPHEN HURST	
	Voir Dire Examination - Mr. Beach	85
	Dismissed by Agreement	92
	CAROL GAUGER	
	Voir Dire Examination - Mr. Beach	94
	Dismissed by Agreement	106
	FERNANDO SALAZAR	
	Dismissed by Agreement	106

1	INDEX	
2	Volume 9 OF 59 Volumes Continued	
3		PAGE/VOL.
4	Reporter's Certificate	107
5	·	
6		
7		
3	·	
9		
)		
L		
2		
3		•
4		
5		
5	·	
7		•
3		
•		
)		
L		
2		
3		
4		
5		
	Belinda G. Baraka, Official Court Reporter 214-653-5803	,

	Volume 10 OF 59 Volumes	
	PAG	E/VOL
	Proceedings - 01/23/08	5/10
i	LOUIS KOSAREK	
	Voir Dire Examination by - Mr. Beach	5
	Voir Dire Examination by - Mr Brauchle	36
ĺ	State's Acceptance of Juror	52
	Defense's Challenge for Cause	53
	Challenge Denied	54
İ	Defense's Peremptory Strike	54
	PAULA CLEARFIELD	
	Voir Dire Examination by - Ms. Handley	55
l	Dismissed by Agreement	63
	KATHLENE GEE	
	Voir Dire Examination by - Ms. Handley	64
	Dismissed by Agreement	67
	NICOLE STINNETT	
	Voir Dire Examination by - Mr. Beach	68
	Dismissed by Agreement	75
	PATRICIA WINDLE	
	Voir Dire Examination by - Ms. Handley	76
	Dismissed by Agreement	85

INDEX	
Volume 10 of 59 Volumes Continued	
PAG	E/VOL.
DAWN TEAGUE	6/10
Voir Dire Examination by - Mr. Beach	86
Dismissed by Agreement	93
Reporter's Certificate	94
·	

2	VOLUME 11 OF 59 VOLUMES	
3	PAC	SE/VOL.
4	Proceedings - 01/24/08	4/11
5	LEE BARRON	
5	Voir Dire Examination by - Mr. Beach	4
7	Dismissed by Agreement	8
3	LESLIE GOEKLER	
)	Dismissed by Agreement	8
)	STEPHANIE MCGHEE	
L	Dismissed by Agreement	8
2	DEBORAH FITZGERALD	
3	Voir Dire Examination by - Mr. Beach	9
Ŀ	Voir Dire Examination by - Mr. Johnson	42
5	Juror Accepted	76
5	GRACE MCLEROY	
7	Dismissed by Agreement	78
•	GEORGE BLUE	
,	Dismissed by Agreement	78
)		
	Reporter's Certificate	79
5		

1	INDEX	
2	VOLUME 12 OF 59 VOLUMES	
3	PAG	SE/VOL.
4	Proceedings - 01/28/08	4/12
5	SIMON RODRIGUEZ	
6	Voir Dire Examination by - Mr. Beach	4
7	Voir Dire Examination by - Mr. Brauchle	39
8	Juror Accepted	72
9	MONICA STORY	
10	Voir Dire Examination by - Ms. Handley	73
11	Dismissed by Agreement	8 5
12	JAMES DAVIS	
13	Voir Dire Examination by - Mr. Beach	86
14	Voir Dire Examination by - Mr. Johnson	121
15	State's Peremptory Strike	156
16		
17	Reporter's Certificate	157
18		
19		
20		
21		
22		
23		
24		
25		

1	INDEX	
2	VOLUME 13 OF 59 VOLUMES	
3	PAC	SE/VOL.
4	Proceedings - 01/29/08	4/13
5	ROBERT LINSON	
6	Voir Dire Examination by - Mr. Beach	4
7	Dismissed by Agreement	11
8	CYNTHIA RYDER	
9	Voir Dire Examination by - Mr. McCallum	12
10	Dismissed by Agreement	19
11	AMY WANDS	
12	Voir Dire Examination by - Mr. Beach	20
13	Dismissed by Agreement	32
14		
15	Reporter's Certificate	33
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		
	Relinda & Baraka Official Court Penarter	

1	INDEX	
2	VOLUME 14 OF 59 VOLUMES	
3	PAG	GE/VOL.
4	Proceedings - 01/30/08	4/14
5	KENNETH MCCOY	
6	Voir Dire Examination - Mr. Beach	4
7	BONNIE RIVERA	
8	Voir Dire Examination - Mr. McCallum	9
9	Voir Dire Examination - Mr. Brauchle	26
10	Juror Accepted	54
11	STEVEN SOLKA	
12	Dismissed by Agreement	56
13	LANELL TURNER	
14	Dismissed by Agreement	56
15	MARTIN HINOJOSA	
16	Voir Dire Examination - Mr. Beach	57
17	Voir Dire Examination - Mr. Johnson	90
18	State's Acceptance of Juror	128
19	Defense's Challenge for Cause	128
20	Challenge Denied	129
21	Defense's Peremptory Strike	129
22		
23	Reporter's Certificate	130
24		
25		

1	INDEX	
2	Volume 15 OF 59 Volumes	
3	PAG	GE/VOL.
4	Proceedings - 01/31/08	4/15
5	ROBERT BARNETT	
6	Voir Dire Examination - Mr. Beach	4
7	Dismissed by Agreement	35
8		
9	Reporter's Certificate	37
0		
.1		
.2		
.3		
. 4	·	
.5		
.6		
7		
9		
0		
1		
2		
3		
4		
5		

INDEX	
Volume 16 of 59 Volumes	
PAGE	E/VOL
Proceedings - 02/04/08	1/16
DEBORAH KNIGHT	
Voir Dire Examination - Ms. Handley	4
Dismissed by Agreement	8
BRUCE BECKWITH	
Voir Dire Examination - Mr. McCallum	9
Dismissed by Agreement	10
MORNING JURY PANEL	
Voir Dire Examination - The Court	11
AFTERNOON JURY PANEL	
Voir Dire Examination - The Court	26
Reporter's Certificate	46

Volume 17 of 59 Volumes	
PAG	SE/VOL.
Proceedings - 02/05/08	4/17
MORNING JURY PANEL	
Voir Dire Examination - The Court	4
AFTERNOON JURY PANEL	
Voir Dire Examination - The Court	21
Reporter's Certificate	42
	Voir Dire Examination - The Court

-	INDEX	
2	Volume 18 of 59 Volumes	
3	PAGI	E/VOL.
1	Proceedings - 02/06/08	4/18
5	RACHEL GEORGE	
5	Voir Dire Examination - Mr. Beach	4
,	Voir Dire Examination - Mr. Brauchle	38
3	Dismissed by Agreement	58
)	JACQUELINE GRIMMETT	
)	Voir Dire Examination - Ms. Handley	59
-	Dismissed by Agreement	75
2	SHARMA BLACKBURN	
	Voir Dire Examination - Mr. Marshall	76
Ł	Dismissed by Agreement	82
i	BARBARA PIERSON	
5	Voir Dire Examination - Ms. Handley	83
,	Dismissed by Agreement	88
	Reporter's Certificate	89
:		
;		

_		
1	INDEX	
2	Volume 19 of 59 Volumes	
3	PAC	GE/VOL.
4	Proceedings - 02/07/08	4/19
5	SHERRIE TOOTLE	
6	Voir Dire Examination - Mr. Handley	4
7	Voir Dire Examination - Mr. Johnson	5 4
8	Juror Accepted	88
9	KATHLENE BRADLEY	
.0	Voir Dire Examination - Mr. Beach	8 9
1	Dismissed by Agreement	94
2	JENNIE MARK	
3	Voir Dire Examination - Mr. Beach	94
4	Dismissed by Agreement	98
5	DANA KAYKENDALL	
6	Voir Dire Examination - Mr. Beach	99
7	Voir Dire Examination - Mr. Brauchle	135
8	State's Acceptance of Juror	160
9	Defense's Challenge for Cause	160
0	Challenge Denied	161
1	Defense's Peremptory Strike	161
2	WALTER GARRISS	
3	Voir Dire Examination - Mr. Beach	162
4	Dismissed by Agreement	171
	Reporter's Certificate	172

L	INDEX
2	Volume 20 of 59 Volumes
3	PAGE/VOL.
ŀ	Proceedings - 02/11/08 4/20
5	MORNING JURY PANEL
5	Voir Dire Examination - The Court 4
7	AFTERNOON JURY PANEL
3	Voir Dire Examination - The Court 25
9	
)	Reporter's Certificate
-	
:	
,	
•	
;	
'	
}	
,	
.	
·	
:	
,	

214-653-5803

INDEX Volume 21 of 59 Volumes PAGE/VOL. Proceedings - 02/12/08 4/21 MORNING JURY PANEL Voir Dire Examination - The Court AFTERNOON JURY PANEL Voir Dire Examination - The Court Reporter's Certificate Belinda G. Baraka, Official Court Reporter 214-653-5803

I N D E X			
PAGE/VOL.	1	INDEX	
### Proceedings - 02/13/08	2	Volume 22 of 59 Volumes	
5 TAMARA JONES 6 Voir Dire Examination - Mr. Beach 5 7 Dismissed by Agreement 10 8 ORLIDIA MOLINAR 9 Voir Dire Examination - Mr. Beach 11 10 Dismissed by Agreement 13 11 SUSAN LEMOND 14 12 Voir Dire Examination - Mr. Beach 14 13 Dismissed by Agreement 17 14 BRADLEY CALDWELL 15 Voir Dire Examination - Mr. Beach 18 16 Voir Dire Examination - Mr. Brauchle 54 17 State's Acceptance of Juror 78 18 Defense's Challenge for Cause 78 19 Challenge Denied 78 20 Defense's Peremptory Strike 78 21 DEBORAH MAXWELL 79 22 Dismissed by Agreement 88	3	PAC	GE/VOL.
6 Voir Dire Examination - Mr. Beach 5 7 Dismissed by Agreement 10 8 ORLIDIA MOLINAR 11 9 Voir Dire Examination - Mr. Beach 11 10 Dismissed by Agreement 13 8 SUSAN LEMOND 14 12 Voir Dire Examination - Mr. Beach 14 13 BRADLEY CALDWELL 17 14 BRADLEY CALDWELL 18 15 Voir Dire Examination - Mr. Brauchle 54 16 Voir Dire Examination - Mr. Brauchle 54 17 State's Acceptance of Juror 78 18 Defense's Challenge for Cause 78 19 Challenge Denied 78 20 Defense's Peremptory Strike 78 21 DEBORAH MAXWELL 79 22 Dismissed by Agreement 88	4	Proceedings - 02/13/08	5/22
Dismissed by Agreement 10	5	TAMARA JONES	
8 ORLIDIA MOLINAR 9 Voir Dire Examination - Mr. Beach 11 10 Dismissed by Agreement 13 11 SUSAN LEMOND 12 Voir Dire Examination - Mr. Beach 14 13 Dismissed by Agreement 17 14 BRADLEY CALDWELL 18 15 Voir Dire Examination - Mr. Beach 18 16 Voir Dire Examination - Mr. Brauchle 54 17 State's Acceptance of Juror 78 18 Defense's Challenge for Cause 78 19 Challenge Denied 78 20 Defense's Peremptory Strike 78 21 DEBORAH MAXWELL 79 23 Dismissed by Agreement 88	6	Voir Dire Examination - Mr. Beach	5
9 Voir Dire Examination - Mr. Beach 11 10 Dismissed by Agreement 13 311 SUSAN LEMOND 12 Voir Dire Examination - Mr. Beach 14 13 Dismissed by Agreement 17 14 BRADLEY CALDWELL 18 15 Voir Dire Examination - Mr. Beach 18 16 Voir Dire Examination - Mr. Brauchle 54 17 State's Acceptance of Juror 78 18 Defense's Challenge for Cause 78 19 Challenge Denied 78 20 Defense's Peremptory Strike 78 21 DeBORAH MAXWELL 79 23 Dismissed by Agreement 88	7	Dismissed by Agreement	10
Dismissed by Agreement 13	8	ORLIDIA MOLINAR	
SUSAN LEMOND Voir Dire Examination - Mr. Beach 14	9	Voir Dire Examination - Mr. Beach	11
12 Voir Dire Examination - Mr. Beach 14 13 Dismissed by Agreement 17 14 BRADLEY CALDWELL 15 Voir Dire Examination - Mr. Beach 18 16 Voir Dire Examination - Mr. Brauchle 54 17 State's Acceptance of Juror 78 18 Defense's Challenge for Cause 78 19 Challenge Denied 78 20 Defense's Peremptory Strike 78 21 DEBORAH MAXWELL 79 22 Dismissed by Agreement 88	10	Dismissed by Agreement	13
Dismissed by Agreement	L 1.	SUSAN LEMOND	
### BRADLEY CALDWELL Voir Dire Examination - Mr. Beach	L2	Voir Dire Examination - Mr. Beach	14
15 Voir Dire Examination - Mr. Beach 18 16 Voir Dire Examination - Mr. Brauchle 54 17 State's Acceptance of Juror 78 18 Defense's Challenge for Cause 78 19 Challenge Denied 78 20 Defense's Peremptory Strike 78 21 DEBORAH MAXWELL 22 Voir Dire Examination - Ms. Handley 79 23 Dismissed by Agreement 88	L3	Dismissed by Agreement	17
16 Voir Dire Examination - Mr. Brauchle 54 17 State's Acceptance of Juror 78 18 Defense's Challenge for Cause 78 19 Challenge Denied 78 20 Defense's Peremptory Strike 78 21 DEBORAH MAXWELL 78 22 Voir Dire Examination - Ms. Handley 79 23 Dismissed by Agreement 88	L 4	BRADLEY CALDWELL	
17 State's Acceptance of Juror 78 18 Defense's Challenge for Cause 78 19 Challenge Denied 78 20 Defense's Peremptory Strike 78 21 DEBORAH MAXWELL 22 Voir Dire Examination - Ms. Handley 79 23 Dismissed by Agreement 88	L 5	Voir Dire Examination - Mr. Beach	18
Defense's Challenge for Cause	L 6	Voir Dire Examination - Mr. Brauchle	54
Challenge Denied	L 7	State's Acceptance of Juror	78
Defense's Peremptory Strike	.8	Defense's Challenge for Cause	78
DEBORAH MAXWELL Voir Dire Examination - Ms. Handley	.9	Challenge Denied	78
Voir Dire Examination - Ms. Handley	20	Defense's Peremptory Strike	78
Dismissed by Agreement	21	DEBORAH MAXWELL	
24	22	Voir Dire Examination - Ms. Handley	79
	23	Dismissed by Agreement	88
25	24		
	25		
•			

1	INDEX	
2	Volume 23 of 59 Volumes	
3	PAG	SE/VOL.
4	Proceedings - 02/14/08	4/23
5	GAYLE SCHIEGG	
6	Voir Dire Examination - Ms. Handley	4
7	Dismissed by Agreement	14
8	KATHRYN KRUEGER	
9	Voir Dire Examination - Mr. Beach	15
10	Dismissed by Agreement	20
11		
12	Reporter's Certificate	21
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		

	Volume 24 of 59 Volumes	
	PAGE/	VOL.
	Proceedings - 02/18/08 4/	24
	JAMES BEAKEY	
	Voir Dire Examination - Mr. Beach	4
	Dismissed by Agreement	18
	DOLORES FOSTER	
	Voir Dire Examination - Mr. Beach	19
	Voir Dire Examination - Mr. Brauchle	60
	State's Acceptance of Juror	84
	Defense Acceptance of Juror	84
	MELANY AUGHTMAN	
	Voir Dire Examination - Mr. Beach	86
	Dismissed by Agreement	88
	GENE GARVIN	
	Voir Dire Examination - Ms. Handley	8 9
	Dismissed by Agreement 1:	24
	IVAN DIXON	
	Voir Dire Examination - Mr. McCallum 1:	26
	Dismissed by Agreement 13	3 9
l	LINDA ROUSSEAU	
	Voir Dire Examination - Mr. Beach 1	4 0
	Dismissed by Agreement 1	45
	Reporter's Certificate	46

14 15 16 17 18 19 20 21			
PAGE/VOL. Proceedings - 02/19/08	1	INDEX	
4 Proceedings - 02/19/08 4/25 5 ROSE MARIE SNYDER 4 6 Voir Dire Examination - Ms. Handley 4 7 Dismissed by Agreement 28 8 ROBERT JONDLE 29 10 Voir Dire Examination - Mr. Beach 29 10 Voir Dire Examination - Mr. Johnson 70 11 State's Peremptory Strike 90 12 Reporter's Certificate 92 14 15 16 17 18 19 20 21 22	2	Volume 25 of 59 Volumes	
5 ROSE MARIE SNYDER 6 Voir Dire Examination - Ms. Handley 4 7 Dismissed by Agreement 28 8 ROBERT JONDLE 29 9 Voir Dire Examination - Mr. Beach 29 10 State's Peremptory Strike 90 12 Reporter's Certificate 92 14 15 16 17 18 19 20 21 21 22	3	PAC	SE/VOL.
6 Voir Dire Examination - Ms. Handley 4 7 Dismissed by Agreement 28 8 ROBERT JONDLE 9 Voir Dire Examination - Mr. Beach 29 10 Voir Dire Examination - Mr. Johnson 70 11 State's Peremptory Strike 90 12 Reporter's Certificate 92 14 15 16 17 18 19 20 21 21 22	4	Proceedings - 02/19/08	4/25
7 Dismissed by Agreement 28 8 ROBERT JONDLE 29 10 Voir Dire Examination - Mr. Beach 29 10 State's Peremptory Mr. Johnson 70 11 State's Peremptory Strike 90 12 3 Reporter's Certificate 92 14 15 16 17 18 19 20 21 22	5	ROSE MARIE SNYDER	
8 ROBERT JONDLE 9 Voir Dire Examination - Mr. Beach	6	Voir Dire Examination - Ms. Handley	4
9 Voir Dire Examination - Mr. Beach 29 10 Voir Dire Examination - Mr. Johnson 70 11 State's Peremptory Strike 90 12 Reporter's Certificate 92 14 15 16 17 18 19 20 21 22 22	7	Dismissed by Agreement	28
10 Voir Dire Examination - Mr. Johnson 70 11 State's Peremptory Strike 90 12 Reporter's Certificate 92 14 15 16 17 18 19 20 21 22 22	8	ROBERT JONDLE	
11 State's Peremptory Strike	9	Voir Dire Examination - Mr. Beach	29
12 Reporter's Certificate	10	Voir Dire Examination - Mr. Johnson	70
Reporter's Certificate	11	State's Peremptory Strike	90
14 15 16 17 18 19 20 21	12		
15 16 17 18 19 20 21	13	Reporter's Certificate	92
16 17 18 19 20 21	14		
17 18 19 20 21 22	15		
18 19 20 21 22	16		
19 20 21 22	17		
20 21 22	18		
21 22	19		
22	20		
	21		
23	22		
	23		
24	24		
25	25		

1		
1	INDEX	
2	Volume 26 of 59 Volumes	
3	PAC	SE/VOL.
4	Proceedings - 02/20/08	4/26
5	JO ANN COLE	
6	Voir Dire Examination - Mr. Beach	4
7	Dismissed by Agreement	9
8	SHERI KETTLETY	
9	Voir Dire Examination - Ms. Handley	10
10	Voir Dire Examination - Mr. Brauchle	5 0
11	Dismissed by Agreement	56
12	DANNY MACK	
13	Voir Dire Examination - Mr. Beach	58
14	Dismissed by Agreement	62
15	MARK HARTWECK	
16	Voir Dire Examination - Mr. McCallum	63
17	Dismissed by Agreement	72
18	ASHLEY ROBLES	
19	Voir Dire Examination - Ms. Handley	73
20	Dismissed by Agreement	75
21	LAMARK GULLEY	
22	Voir Dire Examination - Mr. Beach	76
23	Dismissed by Agreement	91
24		
25	Reporter's Certificate	93
	Belinda G. Baraka, Official Court Reporter 214-653-5803	

INDEX	
Volume 27 of 59 Volumes	
PAG	SE/VOL.
Proceedings - 02/21/08	4/27
JOHN STITTEN	
Voir Dire Examination - Ms. Handley	4
Dismissed by Agreement	14
ADRIAN HOWARD	
Voir Dire Examination - Ms. Handley	15
Voir Dire Examination - Mr. Brauchle	63
Defense's Peremptory Strike	111
MICHAEL WHITTLE	
Dismissed by Agreement	111
	`\
Reporter's Certificate	113
·	
	PACE Proceedings - 02/21/08

Volume 28 of 59 Volumes	
PA	GE/VOL
Proceedings - 02/27/08	4/28
CHERYL BEVERLY	
Voir Dire Examination - Ms. Handley	4
Dismissed by Agreement	7
VENETIA HALUSE	
Voir Dire Examination - Mr. Beach	8
Dismissed by Agreement	11
ANNA MARIE STRINGER	
Voir Dire Examination	12
Dismissed by Agreement	23
DAVID DURDEN	
Voir Dire Examination - Mr. McCallum	24
State's Peremptory Strike	47
ROLONDA ROYAL	
Voir Dire Examination - Mr. Beach	49
Dismissed by Agreement	51
JAMES TROSCLAIR	
Voir Dire Examination - Mr. Beach	52
Voir Dire Examination - Mr. Brauchle	92
State's Acceptance of Juror	126
Defense's Acceptance of Juror	126
Reporter's Certificate	128

	INDEX
	Volume 29 of 59 Volumes
	PAGE/VOL
	Proceedings - 02/28/08 4/29
	JOE YODER
	Voir Dire Examination - Mr. Beach 4
	Dismissed by Agreement 7
	JAMES WHALEY
	Voir Dire Examination - Mr. Beach 8
l	Dismissed by Agreement
	LEO SCHNEIDER
	Voir Dire Examination - Mr. Beach
ł	Dismissed by Agreement

1	INDEX	
2	Volume 30 of 59 Volumes	
3	PAC	SE/VOL
:	Proceedings - 03/03/08	4/30
	TAMARA KRETLOW	
	Voir Dire Examination - Mr. Beach	4
	Dismissed by Agreement	21
	ROBERT TURBON	
	Voir Dire Examination - Ms. Handley	22
	Voir Dire Examination - Mr. Johnson	56
	Acceptance of the Juror by the State	100
	Acceptance of the Juror by the Defense	100
	JUNE TEHAN	
	Voir Dire Examination - Mr. McCallum	102
	Dismissed by Agreement	111
:	CYNTHIA SANTOSCOY	
	Voir Dire Examination - Mr. Beach	112
	Dismissed by Agreement	114
	FLOYD GIBBS	
	Voir Dire Examination - Mr. McCallum	115
	Dismissed by Agreement	125
	LLOYD MCGINNIS	
	Voir Dire Examination - Mr. Handley	126
	Dismissed by Agreement	142
	Reporter's Certificate	143

INDEX Volume 31 of 59 Volumes PAGE/VOL. Proceedings - 03/04/08 5/31 JAMES GARNER Voir Dire Examination - Ms. Handley Dismissed by Agreement EDUARDO RUIZ Voir Dire Examination - Mr. Beach Voir Dire Examination - Mr. Brauchle State's Acceptance of Juror Defense's Challenge for Cause Challenge Denied Defense's Peremptory Strike JAMES STRAHAN Voir Dire Examination - Mr. McCallum Dismissed by Agreement SALLY MORRIS Voir Dire Examination - Ms. Handley Voir Dire Examination - Mr. Johnson State's Acceptance of Juror Defense's Challenge for Cause Challenge Denied Defense's Peremptory Strike

l	Volume 31 of 59 Volumes Continued	
	PAG	E/VOL
	CHERYL NICHOLS	
	Voir Dire Examination - MR. BEACH	163
	Dismissed by Agreement	177
	JANET COCKERHAM	
	Voir Dire Examination - Mr. Beach	178
	Dismissed by Agreement	195
	Reporter's Certificate	196
	·	

INDEX	
Volume 32 of 59 Volumes	
PAC	GE/VOL
Proceedings - 03/05/08	4/32
SHARON JOHNSTON	
Voir Dire Examination - Mr. McCallum	4
Dismissed by Agreement	14
JAMES PUTNAM	
Voir Dire Examination - Ms. Handley	15
Dismissed by Agreement	43
GERALDINE GAGE	
Voir Dire Examination - Mr. McCallum	44
Dismissed by Agreement	71
LINDA JAMES	
Voir Dire Examination - Ms. Handley	72
Dismissed by Agreement	74
JEAN BROWN	
Voir Dire Examination - Mr. McCallum	75
State's Challenge for Cause	116
Challenge Granted	116
LISA HANSEN	
Voir Dire Examination - Ms. Handley	117
Dismissed by Agreement	135
Reporter's Certificate	136
	PACE Proceedings - 03/05/08 SHARON JOHNSTON Voir Dire Examination - Mr. McCallum. Dismissed by Agreement JAMES PUTNAM Voir Dire Examination - Ms. Handley Dismissed by Agreement GERALDINE GAGE Voir Dire Examination - Mr. McCallum Dismissed by Agreement LINDA JAMES Voir Dire Examination - Ms. Handley Dismissed by Agreement JEAN BROWN Voir Dire Examination - Mr. McCallum State's Challenge for Cause Challenge Granted

	Volume 33 of 59 Volumes	
	PAG	SE/VOL
P	roceedings - 03/06/08	4/33
z	ONA PARKER	
	Voir Dire Examination - Mr. McCallum	4
	Dismissed by Agreement	3 9
L	ESLYE DYKES	
	Voir Dire Examination - Ms. Handley	40
	Voir Dire Examination - Mr. Brauchle	86
	Defense's Challenge for Cause	112
	Challenge Denied	114
	Defense's Peremptory Strike	114
В	RENDA PROPPER	
	Voir Dire Examination - Ms. Handley	116
R	eporter's Certificate	167
	·	

1	INDEX	
2	Volume 34 of 59 Volumes	
3	PAGE	E/VOL.
4	Proceedings - 03/10/08	5/34
5	HATTIE NANCE	
6	Voir Dire Examination - Ms. Handley	5
7	Dismissed by Agreement	10
8	BRENDA PROPPER	
9	Voir Dire Examination - Mr. Brauchle	11
.0	State's Acceptance of Juror	41
.1	Defense's Challenge	41
.2	Challenge Denied	42
.3	Defense's Acceptance of Juror	42
4	STEPHEN GARRETT	
.5	Voir Dire Examination - Mr. McCallum	44
. 6	Voir Dire Examination - Mr. Johnson	70
7	State's Acceptance of Juror	97
.8	Defense's Challenge	97
9	Challenge Granted	97
0	OPELENE WEST	
1	Voir Dire Examination - Ms. Handley	99
2	Voir Dire Examination - Mr. Brauchle	128
3	State's Acceptance of Juror	136
4	Defense's Challenge	136
5	Challenge Denied	137

_		
1	INDEX	
2	Volume 34 of 59 Volumes Continued	
3	PAG	E/VOL.
4	Defense's Pre-emptory Challenge	137
5	DENNA HOWLETT	
6	Voir Dire Examination - Mr. McCallum	138
7	Voir Dire Examination - Mr. Johnson	154
8	State's Acceptance of Juror	165
9	Defense's Challenge for Cause	165
10	Challenge Denied	166
11	Defense's Pre-emptory Challenge	167
12		
13	Reporter's Certificate	168
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		

2	Volume 35 of 59 Volumes	
		SE/VOL.
	Proceedings - 03/11/08	
ı	WILLIAM GRAMMER	4/35
		_
Ì	Voir Dire Examination - Ms. Handley	4
	Dismissed by Agreement	8
	MATTHEW HIGGINBOTHAN	
	Voir Dire Examination - Mr. McCallum	9
	Dismissed by Agreement	15
	OPAL SMITH	
Ì	Voir Dire Examination - Ms. Handley	17
	Voir Dire Examination - Mr. Johnson	42
	State's Acceptance of Juror	52
	Defense's Challenge	52
	Challenge Denied	54
	Defense's Pre-emptory Strike	54
	KEMA CARTER	
	Voir Dire Examination - Mr. McCallum	55
	Voir Dire Examination - Mr. Brauchle	69
	State's Acceptance of Juror	73
	Defense's Challenge	73
	Challenge Denied	74
	Defense's Pre-emptory Strike	74
	Reporter's Certificate	76

1	INDEX	
2	Volume 36 of 59 Volumes	
3	PAC	GE/VOL.
4	Proceedings - 03/12/08	5/36
5	JAMES GAGE	
6	Voir Dire Examination - Ms. Handley	5
7	Voir Dire Examination - Mr. Brauchle	48
8	State's Acceptance of Juror	112
9	Defense's Challenge	112
0	Challenge Denied	112
1	Defense's Request for Additional Strike	112
2	Request Denied	112
3	Juror Accepted	113
4	LAURA WORSHAM	
5	Voir Dire Examination - Ms. Handley	61
б	Voir Dire Examination - Mr. Brauchle	77
7	State's Acceptance of Juror	84
3	Defense's Challenge	84
9	Challenge Denied	85
)	Defense's Request for Additional Strike	85
1	Request Granted	85
2	Defense's Pre-emptory Challenge	85
3	CORINE HARTGRAVE	
1	Voir Dire Examination - Mr. Beach	86
5	Voir Dire Examination - Mr. Johnson	103

INDEX	
Volume 36 of 59 Volumes Continued	
PAG	E/VOL.
CORINE HARTGRAVE (Cont.)	
State's Acceptance of Juror	110
Defense's Challenge	110
Challenge Denied	111
Defense's Request for Additional Strike	111
Request Granted	111
Defense's Pre-emptory Challenge	111
CURTIS CANNADY	
Voir Dire Examination - Ms. Handley	113
Voir Dire Examination - Mr. Brauchle	140
MACEY CONRADT	
Voir Dire Examination - Mr. Beach	157
Voir Dire Examination - Mr. Brauchle	183
Reporter's Certificate	198
	Volume 36 of 59 Volumes Continued PAGE CORINE HARTGRAVE (Cont.) State's Acceptance of Juror Defense's Challenge Challenge Denied Defense's Request for Additional Strike Request Granted Defense's Pre-emptory Challenge CURTIS CANNADY Voir Dire Examination - Ms. Handley. Voir Dire Examination - Mr. Brauchle

•	INDEX
2	Volume 37 of 59 Volumes
3	PAGE/VOL.
4	Proceedings - 03/27/08 4/37
5	Reporter's Certificate
5	
,	
3	
•	
)	
2	
3	
١ ا	
5	
5	
'	
;	
'	
.	
' :	

1	INDEX
2	Volume 38 of 59 Volumes
3	PAGE/VOL.
4	Proceedings - 04/08/08 4/38
5	Reporter's Certificate 24
6	
7	
8	
9	
0	
1	
2	
3	
4	
5	
6	
7	
в	
9	
0	
1	
2	
3	
4	
5	

1			D E X		
2	Volume 40 of 59 Volumes				
3					GE/VOL.
4	Proceedings - 05/16	/08		• • • • • • • • • • • • • • • • • • • •	4/40
5	DEFENSE'S WITNESS	Direct	Cross	V.Dire	
6	J.S. BRISENO	8			
7	ROCK RICHARDSON				
8	BRIAN COODY	53			:
9	VICKI HALL	60			
10	WESLEY RUIZ	85			
11					
12	Reporter's Certific	ate		• • • • • • • • • • • • • • • • • • • •	88
13					
14					ı
15					
16					
17					
18					
19					
20					
21					
22					
23					
24					
25					
					ļ
	Belinda G	. Baraka, O	fficial Cou	rt Reporter	

	INDEX					
	Volume 41 of 59 Volumes					
	P	AGE/VOL.				
<u> </u>	Proceedings - 05/23/08	. 4/41				
	Reporter's Certificate	. 10				
,						
3						
)						
)						
L						
2						
3						
l .						
5						
5						
7						
1						
)						
•						
5						

1		I	N D E X	
2		Volume 4	2 of 59 Volumes	
3			PA	GE/VOI
4	Proceedings - 05/2	27/08		6/42
5	In Camera Hearing		••••••	6
6	Conclusion of In C	amera Hear	ing	23
7	Jury Sworn		• • • • • • • • • • • • • • • • • • • •	24
8	Jury Instructions	• • • • • • • • • • • • • • • • • • • •		24
9	Presentment of Ind	ictment	• • • • • • • • • • • • • • • • • • • •	25
10	Opening Statement	- by Mr. Bı	cooks	26
11				- -
12	STATE'S WITNESS	Direct	Cross	
13	EDUARDO IBARRA	33	37	
14	JEREMY BORCHARDT	42	88	
15	HECTOR MARTINEZ	121, 141	135, 145	
16	CARMEN DELGADILLO		156	
17		í,		
18	Reporter's Certific	ate	••••••••	L71
19				
20				
21				
22				
3				
4				
25				

1		I I	N D E X					
2	Volume 43 of 59 Volumes							
3				PA	GE/VOL.			
4	Proceedings - 05/28	/08	• • • • • • • • • •		7/43			
5	STATE'S WITNESS	Direct	Cross	V.Dire				
6	CARMEN DELGADILLO	8	12					
7	JASON JARC	13, 47	36, 53					
8	TODD HAECKER	68	87					
9	DANIEL KRIETER	123, 132	153, 162	131				
10	In-Camera Hearing .				143			
11	Conclusion of In-Ca	mera Heari	ng		152			
12	STATE'S WITNESS	Direct	Cross		;			
13	VERONICA MORALES	167	174					
14								
15	Reporter's Certific	ate			177			
16								
17								
18								
19								
20								
21								
22								
23								
24								
25								
	Belinda G.	Baraka, C 214	Belinda G. Baraka, Official Court Reporter 214-653-5803					

1	INDEX	
2	Volume 44 of 59 Volumes	
3	PAGE/VC	DL.
4	Proceedings - 05/29/08 4/44	1
5	Reporter's Certificate10)
6		
7		
8		
9		
0		
1		
2		
3		
4		
5		
6		
7		
8		
9		
0		
1		
2		
3		
4		
5		

1		ı ı	NDEX	
2		Volume 45	of 59 Vol	umes
3				PAGE/VOL.
4	Proceedings - 06/02	/08	• • • • • • • • • • • • • • • • • • • •	6/45
5	STATE'S WITNESS	Direct	Cross	V.Dire
6	PATRICK STARR	6, 32	21, 35	
7	JEFF METZGER	45, 54	57	53
8	LARRY GORDON	63, 79	74	
9	STEVEN OSBORN	81	86	
10	RAYMOND COOPER	106, 151	126, 154	
11		156	157	
1.2	DEREK MCCARTER	158	161	
13	MONICA LOPEZ	163, 171	169	
14	VICKI HALL	173	193	
15				
16	Reporter's Certific	ate		200
17				
18				
19				
20				
21				
22				
23				
24				
25				
	Dalinda C	Parales (266 1 4	

1		I 1	NDEX		
2	· ·	Volume 46	of 59 Vol	umes	
3				I	PAGE/VOL.
4	Proceedings - 06/03	3/08		• • • • • • • • • • • • • • • • • • • •	. 6/46
5	STATE'S WITNESS	Direct	Cross		
6	JANICE PARCHMAN	9, 40	31, 42		
7	BRIAN PAYNE	44			
8	PATRICK STARR	45	56		
9	State rests its Cas	e in Chief			. 61
10	DEFENSE'S WITNESS	Direct	Cross		
11	MARIA CORREA	62, 76	72		
12	In-Camera Hearing .				. 78
13	End of In-Camera He	aring		• • • • • • • • • • • • • • • • • • • •	. 87
14	DEFENSE'S WITNESS	Direct	Cross	S.Rosa	
15	RAQUEL SOSA			89, 110	
16				120	
17	KARLOUS LAKE			126, 137	
18					
19	Reporter's Certific	ate			. 143
20					· .
21					
22					
23					
24					
25					
	Belinda G	. Baraka, C 214	Official Cou -653-5803	ırt Reporter	
		•			

L	IN	DEX		
2	Volume 47	of 59 Vol	umes	
3			PAG	E/VOL.
1	Proceedings - 06/04/08	• • • • • • • • • • • • • • • • • • • •	• • • • • • • • • • • • • • • • • • • •	6/47
5	DEFENSE'S WITNESS Direct	Cross	S.Rosa	
5	WESLEY RUIZ 9, 57	25, 60	7	
7	Defense Rests Case In Chief .		• • • • • • • • • • • • • • • • • • • •	62
3	STATE'S WITNESS Direct	Cross	S.Rosa	
•	HOWARD JOHNSON 62			
)	Hearing		• • • • • • • • • • • • • • • • • • • •	65
_	STATE'S WITNESS		S.Rosa	
2	HOWARD JOHNSON		65, 67	
3	Argument by - Mr. Beach		• • • • • • • • • • • • • • • • • • • •	76
Ŀ	Argument by - Mr. Brauchle	• • • • • • • • •	• • • • • • • • • • • • • • • • • • • •	76
5	Argument by - Mr. Johnson	· · · · · · · · · · · ·	• • • • • • • • • • • • • • • • • • • •	79
5	Argument by - Mr. Parks	• • • • • • • • •	• • • • • • • • • • • • • • • • • • • •	79
,	Ruling	• • • • • • • • •	• • • • • • • • • • • • • • • • • • • •	80
3	STATE'S WITNESS Direct	Cross		
,	HOWARD JOHNSON 81, 87	86		
,	PATRICK STARR 88			
	State Rests on Rebuttal	• • • • • • • • •		90
	Jury Charge Requests	• • • • • • • • •	• • • • • • • • • • • • • • • • • • • •	90
Ŀ	Reporter's Certificate			105
5				

1	INDEX	
2	Volume 48 of 59 Volumes	
3	PAC	GE/VOL.
4	Proceedings - 06/5/08	5/48
5	Acceptance of Charge	5
5	Defense Reopens	6
7	Defense Rest and Close	7
}	Charge of the Court	7
)	Argument by - Mr. Handley	7
)	Argument by - Mr. Brauchle	23
_	Argument by - Mr. Brooks	49
:	Jury Deliberations	60
	Verdict	65
	Defense Witness Direct Cross S.Rosa	
	ANTHONY WILLIAMS 66, 70	
	71, 72	
	Reporter's Certificate	75
	•	

1	INDEX	
2	Volume 49 of 59 Volumes	
3	PAGE/	VOL.
4	Proceedings - 06/09/08 4	:/49
5	In-Camera Hearing	4
6	End of In-Camera Hearing	7
7	Reporter's Certificate	8
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		
	Belinda G. Baraka, Official Court Reporter 214-653-5803	

1	INDEX
2	Volume 50 of 59 Volumes
3	PAGE/VOL.
4	Proceedings - 06/17/08 5/50
5	STATE'S WITNESS Direct Cross
6	RICHARD HAMB 6
7	
8	Ruling on Defense's Motions
9	Reporter's Certificate 34
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
	Polindo G. Ponako Official Count Departmen

		т ъ	1 D E X	
1		Volume 51		umos
2		volume 31	01 39 0010	PAGE/VOL.
3 4	Proceedings - 07/0	7/08		5/51
5	STATE'S WITNESS			†
6	RICHARD HAMB			
7	KI OMIKO MIMO	22	_,	
8	HAROLD RENFRO		76	55, 68
9	······································	,		69
10	RAUL TOLEDO	77, 108	104, 109	
11		110		
12	JOSE RAMOS	113	126	
13	A.P. MERRILOTT	149, 189	176, 191	136, 140
14		193, 198	196	
15				
16	Reporter's Certifi	icate		200
17				
18				
19				
20				
21				
22				
23				
24				
25				
		G. Baraka, 214		

				<u>.</u>		
1			I	N D E	x	
2		Volu	me 52	of 59	Volumes	
3						PAGE/VOL.
4	Proceedings - 07/0	8/08	• • • • •			
5	STATE'S WITNESS					
6	JERRY POSTON	7				
7	JOHN CHEUNG	14				
8	DARRELL DOTY	17		20		
9	ROBERT MUNOZ	22,	31	4 5	29, 35	
10		41				
11	KATHY KESLER	56,	70	63		
12	HECTOR MARTINEZ	74,	89	80, 9	0	
13	DANIEL TORRES	91,	104	98		
14						
15	Motion to Suppress					105
16	STATE'S WITNESS	Dire	ct	Cross		
17	SCOTT SEACAT	105		109		
18	Argument by - Mr. P	arks			• • • • • • • • • • • • • • • • • • • •	124
19	Ruling				• • • • • • • • • • • • • • • • • • • •	125
20			•			
21	STATE'S WITNESS	Dire	ct			
22	SCOTT SEACAT	126				
23	CHERYL NIX	142				
24	State Rest in Punis	hment		• • • • • •	• • • • • • • • • • • • • • • • • • • •	158
25	Reporter's Certific	ate .	• • • • •	• • • • • • •	• • • • • • • • • • • • • • • • • • • •	161
	Belinda G.	. Bara	ika, C 214-	Pfficial 653-5	Court Reporter 5803	

		:	NDEX	
		Volume :	53 of 59 Vo	olumes
				PAGE/VO
Pı	coceedings - 07/09/	08		7/53
DE	EFENSE'S WITNESS	Direct	Cross	S.Rosa
I	LARRY FITZGERALD	16, 60	37, 66	7, 9
			72	
F	RICHARD ZIEGENHAIN	76	86	
	SUE ZIEGENHAIN	95	112	
F	BARBARA RUIZ	114	135	
	GILDA KESSNER	151, 17	3 166, 17	5 145
I	KATHERINE DRAKE	179		
I	DAVID RIVERA	186	194	
Da	aubert Hearing			197
<u>s:</u>	TATE'S WITNESS	Direct	Cross	S.Rosa
1	KENNETH CRAWFORD			197, 202
				211
A:	rgument by - Mr. B	rauchle.		207
R	eporter's Certifica	ate		213

1	INDEX
2	Volume 54 of 59 Volumes
3	PAGE/VOL.
4	STATE'S WITNESS Direct Cross S.Rosa/V.Dire
5	KENNETH CRAWFORD 7, 15 9
6	20
7	Argument by - Mr. Whittier 23
8	Argument by - Mr. Brauchle 23
9	Argument by - Mr. Whittier 24
10	Ruling 26
11	
12	DEFENSE'S WITNESS Direct Cross S.Rosa
13	CYNTHIA BAILEY 27, 33 32
14	LESLIE SWEET 34, 43 37
15	WESLEY RUIZ 44
16	
17	Defense Rests in Punishment
18	State's Rebuttal in Punishment
19	STATE'S WITNESS Direct Cross
20	KENNETH CRAWFORD 46 70
21	State Rests and Closes in Punishment 74
22	Defense Rests and Closes in Punishment 74
23	
24	Reporter's Certificate 83
25	
	Belinda G. Baraka, Official Court Reporter 214-653-5803

	Volume 55 of 59 Volumes	
	PA	GE/VOL
Proceed	ings - 07/11/08	4/55
Accepta	nce of Court's Charge	4
Charge	of the Court	5
Argumen	t by - Mr. Brooks	5
Argumen	t by - Mr. Brauchle	11
Argumen	t by - Mr. Beach	29
Argumen	t by - Mr. Johnson	43
Argumen	t by - Mr. Beach	53
Jury De	liberations	54
STATE'S	WITNESS S.Rosa	
KELVIN	CRUMP 55, 56	
!		
Verdict		60
Jury Po	lled	62
Sentenc	ing	64
Adjourn	ment	65
Reporte	r's Certificate	66
Disclos	ure	67

	ALPHABETICAL INDEX OF VENIREMEN	
-		AOT
Į A	RNOLD, RICHARD	6
P	ARRON, LEE	11
B	LUE, GEORGE	. 11
B	ROWN, JEAN	32
C	ANNADY, CURTIS	3 6
C	ARR, CYNTHIA	7
C	ARTER, KEMA	35
C	HEEK, TIMOTHY	8
C	LEARFIELD, PAULA	10
C	OCKERHAM, JANET	31
C	ONRADT, MACEY	3 6
C	OX, ALICE	6
C	RUZ, JOSE	6
D	KES, LESLYE	33
F	ITZGERALD, DEBORAH	11
F	LANNERY, THOMAS	8
F	JENTES, TAMARA	8
G	AGE, GERALDINE	32
G	AGE, JAMES	36
G	ARNER, JAMES	31
G	RRETT, STEPHEN	34
1	UGER, CAROL	9
	E, KATHLENE	1 0

	VENIREMEN	VOL.
	GIBBS, FLOYD	3 0
I	GOEKLER, LESLIE	11
	GRAMMER, WILLIAM	3 5
	HANSEN, LISA	32
	HART, CAROLYN	7
	HARTGRAVE, CORINE	36
	HART, ROBERT	9
	HIGGINBOTHAN, MATTHEW	35
	HOWLETT, DENNA	34
	HURST, STEPHEN	9
	JAMES, LINDA	32
	JOHNSTON, SHARON	32
	KENNEDY, LEROY	6
	KENNEDY, MARY	8
	KOSAREK, LOUIS	10
Ì	KRETLOW, TAMARA	3 0
ĺ	MCGHEE, STEPHANIE	11
	MCGINNIS, LLOYD	3 0
	MCLEROY, GRACE	11
	MITCHELL, CLIFFORD	8
	MONTIEL, VERONICA	5
	MOORE, VIEVEN	5
	MORRIS, SALLY	31

1	ALPHABETICAL INDEX OF VENIREMEN
2	<u>VENIREMEN</u> <u>VOL</u> .
3	NANCE, HATTIE 34
4	NICHOLS, CHERYL 31
5	PAUL, BRIAN 5
6	PARKER, ZONA 33
7	PETERS, JANICE 8
8	PROPPER, BRENDA 33/34
9	PUTNAM, JAMES 32
10	REID, BRIAN 7
11	RUIZ, EDUARDO 31
12	SALAZAR, FERNANDO 9
13	SANTOSCOY, CYNTHIA 30
14	SCHNURR, DOUGLASS 6
15	SEPULVEDA, CARLOS 6
16	SMITH, COURTNEY 9
17	SMITH, OPAL 35
18	STINNETT, NICOLE 10
19	STRAHAN, JAMES 31
20	TEAGUE, DAWN 10
21	TEHAN, JUNE 30
22	TURBON, ROBERT 30
23	VANDRUFF, RUTH 5
24	WEST, OPELENE 34
25	WHALEY, JAMES 29

	ALPHABETICAL INDEX OF V	/ENIKEMEN
ENIREMEN		<u>VOL</u> .
INDLE, PATRICIA	A	10
ORSHAM, LAURA		
OULE, JENNIFER		9
ODER, JOE		29

	WITNESSES	VOL.
	BAILEY, CYNTHIA	54
	BORCHARDT, JEREMY	42
	BRISENO, J.S	40
	CHEUNG, JOHN	52
	COODY, BRIAN	40
	COOPER, RAYMOND	45
	CORREA, MARIA	46
	CRAWFORD, KENNETH	53/54
	CRUMP, KELVIN	55
	DELGADILLO, CARMEN	42/43
	DOTY, DARRELL	52
	DRAKE, KATHERINE	53
	FITZGERALD, LARRY	53
	GORDON, LARRY	45
	HAECKER, TODD	43
	HALL, VICKI	40/45
	HAMB, RICHARD	50/51
	IBARRA, EDUARDO	42
	JARC, JASON	43
	JOHNSON, HOWARD	47
	KESLER, KATHY	52
	KESSNER, GILDA	53
ĺ	KRIETER, DANIEL	43

1	ALPHABETICAL INDEX OF WITNESSES	
2	WITNESSES	VOL.
3	LAKE, KARLOUS	46
4	LOPEZ, MONICA	45
5	MARTINEZ, HECTOR	42/52
6	MCCARTER, DEREK	45
7	MERRILOTT, A.P	51
8	METZGER, JEFF	45
9	MUNOZ, ROBERT	52
10	NIX, CHERYL	52
11	OSBORN, STEVEN	45
12	PARCHMAN, JANICE	46
13	PAYNE, BRIAN	46
14	POSTON, JERRY	52
15	RAMOS, JOSE	51
16	RENFRO, HAROLD	51
17	RICHARDSON, ROCK	40
18	RIVERA, DAVID	53
19	RUIZ, BARBARA	53
20	RUIZ, WESLEY	40/47/54
21	SEACAT, SCOTT	52
22	SOSA, RAQUEL	45
23	STARR, PATRICK	45/46/47
24	SWEET, LESLIE	54
25	TOLEDO, RAUL	51
:		

Belinda G. Baraka, Official Court Reporter 214-653-5803

	ALPHABETICAL INDEX OF WITNESSES
	WITNESSES VOL.
	TORRES, DANIEL 52
	WILLIAMS, ANTHONY 48
	ZIEGENHAIN, RICHARD 53
	ZIEGENHAIN, SUE 53
Ì	
ļ	
ļ	
1	

1		ЕХН	I B I T I N D	EX.	•
2	STATE '	S EXHIBIT(S):	OFFERED:	ADMITTED:	<u>vol</u> .
3	1	Bulletin	35	35	42
4	4	Diagram	67	47	42
5	5	Photograph	69	69	42
6	6	Photograph	69	69	42
7	7	Photograph	69	69	42
8	8	Photograph	69	69	42
9	9	Photograph	69	69	42
10	10	Video	73	74	42
11	11	Photograph	128	128	43
12	12	Photograph	128	128	43
13	13	Photograph	128	128	43
14	14	Audio Tape	32	32	43
15	14-A	Transcript	175	176	43
16	15	Audio CD	85	85	43
17	16	Photograph	128	128	43
18	16-A	Photograph	128	128	43
19	16-B	Photograph	128	128	43
20	17	Photograph	128	128	43
21	18	Bullet	40	41	45
22	19	Photograph	129	129 .	43
23	20	Photograph	129	129	43
24	21	Photograph	129	129	43
25	22	Poster	17	17	45

Belinda G. Baraka, Official Court Reporter 214-653-5803

_		_			
2	1	'S EXHIBIT(S):	OFFERED:	ADMITTED:	VOL.
3	26	News Video	19	19	4 5
4	27	Photograph	128	128	42
5	28	Photograph	129	129	43
6	28-A	Photograph	130	130	42
7	29	Photograph	131	132	42
8	32	Medical Records	53	54	45
9	32-A	Photograph	51/32	51/33	45/51
10	33	Photograph	129	129	43
11	34	Photograph	129	129	43
12	35	Photograph	129	129	43
13	3 6	Photograph	129	129	43
14	37	Photograph	129	129	43
15	38	Photograph	129	129	43
16	39	Photograph	129	129	43
17	40	Photograph	129	129	43
18	41	Photograph	129	129	43
19	42	Photograph	129	129	43
20	43	Photograph	129	129	43
21	44	Photograph	129	129	43
22	45	Photograph	129	129	43
23	46	Photograph	129	129	43
24	47	Photograph	129	129	43
25	48	Photograph	129	129	43

Belinda G. Baraka, Official Court Reporter 214-653-5803

2	STATE	'S EXHIBIT(S):	OFFERED:	ADMITTED:	VOT
3	49	Photograph	129	129	-
4	50	Photograph	129		43
5	51	Photograph		129	43
6		-	129	129	43
	52	Photograph	129	129	43
7	53	Photograph	129	129	43
8	54	Photograph	129	129	43
9	55	Photograph	129	129	43
0	56	Photograph	129	129	43
1	57	Photograph	129	129	43
2	58	Photograph	129	129	43
3	5 9	Photograph	129	129	43
4	60	Photograph	129	129	43
5	61	Photograph	128	128	43
5	62	Photograph	128	128	43
7	63	Assault Pistol	137	137	43
в	64	Cartridge Casing	138	139	43
9	66	Bullets	139	139	43
0	68	Laboratory Report	113	113	45
1	68-A	Report	114	114	45
2	6 9	Photograph - Badge	153	153	45
,	70	Laboratory Report	165	165	45
	73	Drug Evidence	168	168	45
1	74	Laboratory Report	179	179	45

Belinda G. Baraka, Official Court Reporter 214-653-5803

1	EXHIBIT INDEX					
2	STATE	'S EXHIBIT(S):	OFFERED:	ADMITTED:	<u>vol</u> .	
3	74-A	Report	176	176	45	
4	75	Laboratory Report	184	185	45	
5	76	Laboratory Report	192	192	45	
6	77	Laboratory Report	192	192	45	
7	81	T-Shirt D'gram	185	192	45	
8	82	T-Shirt	140	141	43	
9	83	Protective Vest	141	141	43	
10	84	Uniform Shirt	141	141	43	
11	85	Insignia Pin	142	142	43	
12	86	Badge	140	140	43	
13	87	Photograph	75	75	42	
14	88	Medical Records	6	6	46	
15	90	Photograph	27	27	46	
16	91	Photograph	27	27	46	
17	92	Autopsy Photograph	25	25	46	
18	93	Photograph	27	27	46	
19	94	Photograph	27	27	46	
20	95	Photograph	27	27	4 6	
21	95-A	Photograph	27	27	46	
22	96	Fragment	115	115	4 5	
23	97	Fragment	117	117	45	
24	98	Fragment	120	121	45	
25	99	Particulate Matter	18	18	46	

Belinda G. Baraka, Official Court Reporter 214-653-5803

1		EXHIBI	TIND	E X	
2	STATE '	S EXHIBIT(S):	OFFERED:	ADMITTED:	<u>VOL</u> .
3	100	Photograph	46	46	46
4	101	Affidavit	119	119	46
5	102	Photograph	119	119	46
5	103	Photograph	119	119	46
7 :	104	Letter	32/93	33/93	47/53
3 :	105	Letter	32/73	3374	47/54
9 :	106	Letter	32/73	33/74	47/54
o :	107	Letter	32/73	33/74	47/54
ı :	108	Letter	32/73	33/74	47/54
2 :	109	Letter	32/73	33/74	47/54
3 :	110	Letter	32/73	33/74	47/54
1 :	111	Letter	32	33	47
5 :	113	Letter	32	33	47
; :	114	Photograph	50	50	47
7 :	115	Photograph	50	50	47
3	116	Photograph	89	89	47
9 :	117	Blue Back	10	16	51
) :	118	Blue Back	10	12	51
ı :	119	Blue Back	10	13	51
2 :	120	Blue Back	10	16	51
3 :	121	Blue Back	10	16	51
1 :	122	Blue Back	10	15	51
5 :	123	Blue Back	10	16	51

Belinda G. Baraka, Official Court Reporter 214-653-5803

1		EXHIBI	т і и р	E X	
2	STATE	'S EXHIBIT(S):	OFFERED:	ADMITTED:	<u>VOL</u> .
3	124	Blue Back	10	16	51
4	125	Blue Back	10	16	51
5	126	Blue Back	10	16	51
6	127	Blue Back	10	16	51
7	128	Fingerprint Card	12		51
8	129	Photograph	19	20	52
9	130	Photograph	19	20	52
10	131	Photograph	19	20	52
11	132	Photograph	19	20	52
12	133	Photograph	19	20	52
13	135	Photograph	19	20	52
14	136	Photograph	19	20	52
15	137	Photograph	19	20	52
16	138	Photograph	19	20	52
17	139	Photograph	19	20	52
18	140	Photograph	19	20	52
19	141	Photograph	19	20	52
20	142	Photograph	19	20	52
21	143	Photograph	19	20	52
22	144	Photograph	19	20	52
23	146	Photograph	19	20	52
24	147	Photograph	19	20	52
25	148	Photograph	19	20	52
		Palinda & Ranaka	Official Cou	ent Dananta	· n

Belinda G. Baraka, Official Court Reporter 214-653-5803

.	STATE	'S EXHIBIT(S):	OFFERED:	ADMITTED:	VOL.
3	149	Photograph	19	20	52
4	150	Photograph	19	20	52
5	151	Photograph	19	20	52
6	152	Photograph	19	20	52
7	153	Photograph	19	20	52
3	154	Photograph	32/103	103	51
9	155	Photograph	32	33	51
0	156	Photograph	32	33	51
1	157	Photograph	32	33	51
2	158	Photograph	32	33	51
3	159	Photograph	101	101	51
4	160	Cir. Vitae	152	153	51
5	161	Handgun	15	15	52
6	162	Photograph	32		52
7	163	Photograph	32		52
8	164	Photograph	32		52
9	165	Photograph	32		52
0	166	Photograph	32		52
1	167	Photograph	32		52
2	168	CD	109/128	109/128	52
3	169	CD	109/128	109/129	52
.	174	Transcript	135	137	52
5	175	Murd./Capt. Murders	75	75	53

Belinda G. Baraka, Official Court Reporter 214-653-5803

1		Ехніві	т і и г	EX	
2	STATE	'S EXHIBIT(S):	OFFERED:	ADMITTED:	<u>VOL</u> .
3	176	Letters	142	149	53
4	184	List of Known Users	9	13	54
5	185	Article	9		5 4
6	186	Brochure	9		54
7	187	Cert. of Accredit.	17/49	19/50	54
8	188	Scope of Accredit. 17	/49	19/50	54
9	189	Incident Report	40	41	54
10	190	Letter	64	64	54
11	191	Letter	64	64	5 4
12	192	Letter	64	64	54
13	193	Letter	64	64	54
14	194	Letter	6 4	64	5 4
15	195	Letter	64	64	54
16	196	Letter	64	64	54
17	198	Report	69	70	54
18					
19					
20					
21					
22					
23					
24					
25					

Belinda G. Baraka, Official Court Reporter 214-653-5803

1	EXHIBIT INDEX						
2	DEFEN	SE'S EXHIBIT(S):	OFFERED:	ADMITTED:	VOL.		
3	А	Laboratory Report	62	62	40		
4	В	Notice to Supplement	76	77	4 0		
5	С	Supplement to Notice	76	77	40		
6	1	Procedures	109	109	42		
7	2	Document	107	107	43		
8	3	Document	94	94	4 5		
9	4	Casings	140	140	45		
10	5	Casings	140	141	45		
11	6	Casings	141	141	45		
12	7	Casings	142	142	45		
13	8	Spent/Fragments	147	147	4.5		
14	9	Casings	149	149	45		
15	10	Asp	162	162	4 5		
16	11	Death Certificate	38	38	4 6		
17	12	Photograph	64	64	46		
18	13	Photograph	64	64	46		
19	14	Photograph	64	64	46		
20	15	Photograph	64	64	46		
21	16	Photograph	64	64	46		
22	17	Photograph	64	64	46		
23	18	Photograph	64	64	46		
24	19	Diagram	64	64	46		
25	20	Autopsy Report	123	123	46		

Belinda G. Baraka, Official Court Reporter 214-653-5803

ÞΕ	FENSE'S EXHIBIT(S):	OFFERED:	ADMITTED:	VOL
2	Typewritten Notes	77	77	47
2.	Purposed Charge	93		47
2	B Handgun	6	7	48
2	12.44 Motion Form	65	66	52
2	5 Photograph	104	104	53
2	Photograph	104	104	53
2	Photograph	104	104	53
2	Photograph	104	104	53
3 (Photograph	104	104	53
3:	Photograph	104	104	53
3:	Photograph	104	104	53
3:	Photograph	104	104	53
3 4	Photograph	104	104	53
3 !	Photograph	104	104	53
3 (Photograph	104	104	53
3.	Photograph	104	104	53
3 8	Photograph	104	104	53
4 (Photograph	104	104	53
4:	Photograph	104	104	53
4:	Photograph	104	104	53
4:	Photograph	104	104	53
4.4	Photograph	104	104	53
4 5	Photograph	104	104	53

Belinda G. Baraka, Official Court Reporter 214-653-5803

1		ЕХНІВ	IT INI	ЭЕХ	
2	DEFENSE'S EXHIBIT(S):		OFFERED:	ADMITTED:	<u>vor</u>
3	46	Photograph	104	104	53
4	53	Photograph	104	104	53
5	54	Photograph	104	104	53
6	55	Photograph	104	104	53
7	56	Photograph	104	104	53
8	57	Photograph	104	104	53
9	58	Photograph	104	104	53
10	59	Photograph	104	104	53
11	60	Photograph	104	104	53
12	61	Photograph	104	104	53
13	62	Photograph	104	104	53
14	63	12.44 Motion	3 0	30	54
15	64	12.44 Motion	. 30	3 0	54
16	65	E-Mail		3 6	54
17					
18	:				
19					
20					
21					
22					
23					
24					
25					

Belinda G. Baraka, Official Court Reporter 214-653-5803

1	THE STATE of TEXAS)
2	COUNTY of DALLAS)
3	I, BELINDA G. BARAKA, Official Court Reporter in and
4	for the 194th Judicial District Court of Dallas County, State
5	of Texas, do hereby certify that the foregoing contains a true
6	and accurate transcription of all portions of evidence and
7	other proceedings requested in writing by counsel for the
8	parties, to be included in this volume of the Reporter's
9	Record, in the above-styled and -numbered cause(s), all of
10	which occurred in open court or in chambers and were reported
11	by me.
12	I further certify that this Reporter's Record of the
13	proceedings truly and correctly reflects the exhibits, if any,
14	admitted by the respective parties.
15	I further certify that the total cost for the
16	preparation of this Reporter's Record was paid by the
17	State/Defense.
18	WITNESS MY OFFICIAL HAND this the 3th day of
19	May, A.D., 2009.
20	7
21	
22	BSBaraka
23	BELINDA G. BARAKA, CSR #5028 Official Court Reporter
24	133 N. Industrial Dallas County, Texas 75207
25	Certification Expires: 12-31-09



IN THE COURT OF CRIMINAL APPEALS OF TEXAS

NO. AP-75,968

WESLEY LYNN RUIZ, Appellant

v.

THE STATE OF TEXAS

ON DIRECT APPEAL FROM CAUSE NO. F07-50318-M IN THE 194TH JUDICIAL DISTRICT COURT DALLAS COUNTY

PRICE, J., delivered the opinion of the Court in which Keller, P.J. and Meyers, Womack, Keasler, Hervey and Cochran, JJ., joined. Johnson, J., concurred in the result.

OPINION

The appellant was convicted of intentionally or knowingly causing the death of a Dallas police officer, a capital offense. The jury answered the statutory special issues in

TEX. PEN. CODE § 19.03(a)(1) ("A person commits an offense if the person commits murder as defined under Section 19.02(b)(1) and . . . the person murders a peace officer . . . who is acting in the lawful discharge of an official duty and who the person knows is a peace officer[.]").

such a way that the trial court was obliged to assess the death penalty.² Appeal to this Court is, therefore, automatic.³ The appellant raises fourteen points of error, including challenges to the sufficiency of the evidence to support both the guilty verdict and the first special issue at the punishment phase of trial. We will therefore summarize the evidence in the light most favorable to the jury's verdicts.⁴

GUILT/INNOCENCE PHASE ISSUES

Evidentiary Sufficiency Issues

On March 21, 2007, the homicide division of the Dallas Police Department issued a bulletin to its officers to be on the lookout for a 1996 four-door Chevy Caprice with dark tinted windows and chrome wheels, red and gray in color, that was suspected to have some involvement in a capital murder. Two days later, on March 23rd, two plainclothes officers in an unmarked police vehicle spotted a car matching this description on Stemmons Freeway.⁵ They summoned marked patrol cars to stop the suspect vehicle and followed it as it exited the freeway at Mockingbird Lane and drove into West Dallas. Corporal Mark Nix arrived, positioning his patrol car directly behind the Caprice and activating his overhead

TEX. CODE CRIM. PROC. art. 37.071(2)(b) & (2)(e).

Id., Section (2)(h).

Jackson v. Virginia, 443 U.S. 307 (1979); Burns v. State, 761 S.W.2d 353, 355 (Tex. Crim. App. 1988).

Although it matched the description of the car sought in the March 21st bulletin, this particular Chevy Caprice did not turn out to be the one sought in the capital-murder investigation.

lights and video camera. The Caprice momentarily braked as if to pull over, but then suddenly raced off at high speed down the winding road, followed by Nix and at least one other patrol car in hot pursuit. The ensuing events were recorded by Nix's video camera and that of the patrol car directly behind him, both of which recordings are in evidence.

Apparently taking a curve too fast, the Caprice hit the left-hand curb and spun out of control. It barreled backwards down a slight incline on the right side of the street and came to rest facing the roadway, its back-end apparently blocked by a fence. Nix followed the Caprice down the incline and pulled to a stop, directly hood to hood. The patrol car behind Nix also pulled off the road and came to a halt on the passenger side of the Caprice, a short way off but close enough to effectively hem it in. Corporal Nix jumped out of his patrol car and rushed to the front passenger side of the Caprice. There he began to swing his baton with his left hand, smashing it against the tinted front passenger window.⁶ He paused momentarily to place his pistol on the ground so that he could use both hands to wield the baton and continued striking the window, punching a small hole through it. A second later, a single gun shot shattered the rear passenger window, the bullet striking Nix's badge and splintering. A fragment entered Nix's chest at the level of his clavicle, severing his left common carotid artery. The other officers responded with a hail of gunfire, then dragged Nix to cover and summoned the SWAT team. The appellant was eventually pulled from behind

All of the windows were so darkly tinted that the officers could barely make out a "silhouette" behind the wheel of the Caprice.

the wheel of the Caprice, wounded and unconscious, the pistol with which Nix had been shot found in his lap. Nobody else was in the car. Nix was pronounced dead at the hospital, but the appellant was able to survive his multiple wounds.

In his fourth point of error, the appellant contends that the evidence was legally insufficient to establish that Nix was acting in the lawful discharge of an official duty when the appellant shot him. Pointing to a written Dallas Police Department (DPD) policy, the appellant argues that Nix acted outside the bounds of his official duty when he failed to use his public-address system to order the appellant out of the Caprice, but instead rushed the car and began to pound on the window with his baton. He claims that this violated departmental policy for felony traffic stops, which expressly and emphatically provides that officers ordinarily should not "rush" a suspect who has been stopped on the roadside. And indeed, DPD policy declares that "[t]his is true for most types of felony stops, whether end of chase, or any other high risk stop." The appellant acknowledges that the case law from this Court plainly holds that, for purposes of Section 19.03(a)(1) of the Penal Code, an officer acts in the lawful discharge of his official duties so long as he is on duty and in uniform; the fact that he may be effectuating an unconstitutional arrest, or a lawful arrest in an improper or

⁽Emphasis supplied.) This written policy was admitted into evidence as Defendant's Exhibit 1. Several DPD officers testified for the State that the official policy prohibition against "rushing" a suspect applies only to an initial felony traffic stop, and that, once the appellant initiated the high-speed chase in this case, that policy no longer applied.

unlawful manner, does not mean he is not acting in the lawful discharge of an official duty.⁸ Regardless of whether Nix violated departmental policy by "rushing" the Caprice and breaking the window in an attempt to ascertain and apprehend whoever was inside, such a breach of departmental policy would not render his conduct in discharging his duty as a peace officer any less "lawful," in contemplation of Section 19.03(a)(1),⁹ than had he tried to effectuate the appellant's arrest in an unconstitutional manner. As long as Nix was acting within his capacity as a peace officer, as we have held, he was acting within the lawful

Montoya v. State, 744 S.W.2d 15, 29-30 (Tex. Crim. App. 1987); Guerra v. State, 771 S.W.2d 453, 460-61 (Tex. Crim. App. 1988); Hughes v. State, 897 S.W.2d 285, 297-98 (Tex. Crim. App. 1994).

Section 1.07(48) of the Penal Code defines "unlawful" to mean "criminal or tortious or both[.]" TEX. PEN. CODE § 1.07(48). We are aware of no precedent, and the appellant cites none, holding that a violation of a police department policy constitutes criminal or tortious conduct per se. Instead, he relies upon Tennessee v. Garner, 471 U.S. 1, 11-12 (1985), in which the Supreme Court held that law enforcement use of deadly force to accomplish a felony arrest violates the Fourth Amendment unless there is reason to believe the suspect "has committed a crime involving the infliction of serious physical harm, ... and if, where feasible, some warning has been given." But there is no evidence that Nix used deadly force against the appellant when he rushed the Caprice and breached the passenger side window. (The jury was instructed on self-defense. But applicability of self-defense to the present facts turns on the reasonableness of the appellant's perception, should the jury find that he in fact harbored such a perception, that Nix was attempting unjustifiably to use deadly force—not on whether Nix actually was using deadly force. TEX. PEN. CODE § 9.32.) Nor can it be said, under the circumstances, that Nix's conduct "shocks the conscience" so as to amount to a violation of substantive due process under another case that the appellant relies upon, City of Sacramento v. Lewis, 523 U.S. 833 (1998). In an analogous context, we have held that a public servant acts within the lawful discharge of his official duties so long as his conduct, committed during the course of those duties, does not rise to the level of a criminal or tortious abuse of his office—regardless of whether it violates official agency policy. See Hall v. State, 158 S.W.3d 470 (Tex. Crim. App. 2005) (construing "lawful discharge of an official duty" for purposes of Texas's assault-on-a-public-servant statute).

discharge of his official duties.¹⁰ To intentionally or knowingly cause an officer's death under these circumstances still constitutes a capital offense.

In his second point of error, the appellant suggests that by adhering to the cases that have construed Section 19.03(a)(1) in this way, we risk rendering the statute too indistinct to withstand constitutional scrutiny. The Eighth Amendment requires that any scheme for imposing the death penalty involve guided jury discretion at the so-called "eligibility" stage. ¹¹ In Texas, at least part of the eligibility determination is built into Section 19.03 itself, which defines the subset of the broader class of all murders that constitutes a capital offense. ¹² To pass constitutional muster, the Supreme Court has said, the eligibility stage must operate to channel jury discretion by "genuinely narrow[ing] the class of persons eligible for the death penalty and . . . reasonably justify[ing] the imposition of a more severe sentence on the defendant compared to others found guilty of murder." The appellant argues that our past construction of Section 19.03(a)(1), by effectively removing the word "lawful" from the

Guerra v. State, supra, at 461.

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Tuilaepa v. California, 512 U.S. 967, 971-75 (1994). To satisfy the Eighth Amendment, any capital punishment scheme must include both an "eligibility" process, during which jury discretion must be narrowly circumscribed so as to avoid arbitrary and capricious application, and a "selection" process, during which the jury's discretion must remain relatively unfettered so as to ensure an assessment of punishment that is tailored to the individual. *Id*.

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See Lowenfield v. Phelps, 484 U.S. 231, 245 (1988) (under Texas statutory scheme, constitutionally required narrowing function occurs, not by the use of aggravating factors at the punishment phase, but in the definition of capital murder itself, litigated at the guilt phase).

Zant v. Stephens, 462 U.S. 862, 877 (1983).

statute, fails sufficiently to narrow the class of murderers eligible for capital punishment, resulting in the arbitrary and capricious imposition of the death penalty.

But the Supreme Court has also said that, "[b]ecause 'the proper degree of definition' of eligibility . . . factors often 'is not susceptible of mathematical precision,' our [Eighth Amendment] vagueness review is quite deferential."14 So long as an eligibility factor "has some common-sense core of meaning . . . that criminal juries should be capable of understanding[,]" it will adequately serve its Eighth Amendment narrowing function. 15 As our case law has construed Section 19.03(a)(1), it certainly has a common-sense core of meaning: A police officer is acting within the lawful discharge of his official duties under the statute so long as he is "on duty, in uniform[.]" A jury would have no trouble comprehending this core meaning. Moreover, as so construed, the statute clearly identifies a sub-class of murderers that the Legislature could rationally conclude is deserving of the most severe penalty available. As a matter of public policy, the Legislature may legitimately extend the presumed deterrent effect of capital punishment to discourage citizens from murdering police officers—even those officers who are not necessarily operating within the precise parameters of official departmental policy. Protection of police officers while they are on duty represents a reasonable justification for imposing a more severe penalty than

Tuilaepa v. California, supra, at 973 (quoting Walton v. Arizona, 497 U.S. 639, 655 (1990)).

Id. (internal quotation marks omitted).

¹a. (internal quotation marks omitted)

Guerra v. State, supra, at 461.

applies to other murderers. Even if the appellant were right to criticize our past construction of Section 19.03(a)(1)—which we by no means concede—our construction of the statute does not make it indefinite or its imposition arbitrary and capricious. That it narrows the class of murderers susceptible to execution to a sub-class that is broader than the appellant would prefer does not render it intolerably vague for Eighth Amendment purposes. For these reasons, we recently (and unanimously) rejected a similar argument that the aggravating element embodied in Section 19.03(a)(1), as we have construed it, is unconstitutionally vague.¹⁷ We likewise reject it here and hold that the evidence was sufficient to support the appellant's conviction for capital murder. We overrule the appellant's second and fourth points of error accordingly.

In his third point of error, the appellant also argues that the evidence is factually insufficient to support the conviction, for the same reasons. This Court no longer entertains claims of factual insufficiency in capital-murder cases, however.¹⁸ We overrule the appellant's third point of error.

Specific Misconduct Evidence to Show First Aggressor

In his first point of error, the appellant argues that the trial court erred in sustaining the State's objection to the admission of testimony with respect to three prior acts of misconduct on Corporal Nix's part. The appellant proffered this testimony in order to show

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Mays v. State, 318 S.W.3d 368, 388-89 (Tex. Crim. App. 2010).

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Martinez v. State, 327 S.W.3d 727, 736 (Tex. Crim. App. 2010).

Nix's state of mind at the time he rushed the Caprice; the purpose was to help the jury understand and appreciate why Nix acted (and therefore, inferentially, to establish that he acted) as the first aggressor under the circumstances. The appellant argued that this evidence was admissible in support of his claim that he was compelled to use deadly force in selfdefense, which theory was indeed presented to the jury in the court's charge. The State maintained that the testimony was inadmissible under Rule 404(b) of the Texas Rules of Evidence, 19 arguing that it had no relevance apart from inferences of character conformity, and adding, incidentally and without elaboration, that it was "also prejudicial to the State." The trial court sustained the State's objection, expressly invoking Rule 404(b), but allowed the appellant to develop the record in the jury's absence. In response, the appellant presented testimony from three witnesses who related past incidents in which Nix, in his capacity as a police officer, allegedly treated suspects who either fled apprehension or did not immediately respond to his assertion of official authority with arguably greater force than was necessary under the circumstances.

The first witness, Raquel Sosa, testified that, in June of 2002, Nix shot and killed her boyfriend when they tried to outrun the police after an attempted traffic stop.²⁰ Karlous Lake,

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TEX R. EVID. 404(b).

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Sosa denied that her boyfriend was carrying a weapon that night or that he shot at Nix first. The State proffered evidence that Sosa's boyfriend gave a dying declaration in which he admitted having discarded a nine-millimeter pistol after he was shot. The police subsequently found the pistol where Sosa's boyfriend said he had discarded it, and a nine millimeter cartridge was discovered at the site of the shooting. The State also proffered that, "after investigation, this use of deadly force

the second witness, was a marine who testified that, as he was leaving a bar with friends in the early morning hours in March of 2005, a group of police officers told them to "hurry up, get home before your curfew." When Lake asked them what time the curfew was and began to walk away. Nix shot him with a taser, though Lake did not believe he had done anything threatening or provocative. Another officer told Lake that the reason he had been tasered was that he had refused to stop, but Lake maintained that none of the officers ordered him to stop. Lake was arrested for public intoxication though he claimed to have had only one drink; the case was later dismissed.²¹ Finally, Anthony Williams testified that, in August of 2006, when he was fourteen years old, he was running down the street when Nix ordered him to stop and get down on the ground. When Williams immediately complied, Nix handcuffed him behind his back, lifted him up by the handcuffs, and "threw" him into a squad car, threatening to "knock all [his] teeth out." Williams was soon released and never charged with any offense. Other officers at the scene filed a complaint against Nix for using excessive force to lift Williams up, but made no mention of Nix's having thrown Williams into the squad car.

The appellant argued that the specific misconduct evidence was admissible because it explained that Nix's conduct in rushing the Caprice and battering the passenger side

was ruled as . . . justifiable" on Nix's part.

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The State proffered testimony from another officer that Lake had incited the incident with the police officers and, when they tried to arrest him for public intoxication, he resisted arrest. When Lake "approached" Nix with an apparently threatening gesture, Nix fired his taser.

window was typical of the sort of violent responses he demonstrated over the course of his career when confronted with suspects who did not immediately accede to his authority. He argues that the testimony of his proffered witnesses was admissible under of Rule 404(b) of the Texas Rules of Evidence, ²² as we have construed that rule in *Tate v. State*. ²³ We need not address this contention, however, because we hold that any purported error was harmless under Rule 44.2(b) of the Texas Rules of Appellate Procedure. ²⁴

Because the error, if any, was not of constitutional dimension, we measure harm against the standard in Rule 44.2(b), which requires us to "disregard" any "error . . . that does not affect" the appellant's "substantial rights[.]" That Nix was the first aggressor in this case, in the sense that his conduct in rushing to the Caprice and breaking out the window with his baton constitutes an act of aggression that came before the appellant's use of deadly force, is uncontradicted on the present record. In fact, it was established beyond cavil by the videos that were taken from the squad cars. There was no need on the part of the defense to shore up that evidence with specific misconduct evidence explaining that Nix had a history of overreacting to resistence to his police authority. It is most unlikely on the facts of this case that the jury's resolution of the appellant's self-defense claim would have turned on the question whether Nix's aggressive behavior came first. Whether the appellant legitimately

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TEX. R. EVID. 404(b).

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⁹⁸¹ S.W.2d 189 (Tex. Crim. App. 1998).

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Id.

resorted to deadly force to protect himself from Nix's aggression depended on the nature of that aggression and the appellant's reasonable perception of it under the circumstances—not on its timing. The jury was called upon to decide, not when Nix's aggression occurred with respect to the appellant's own conduct, which was definitively established by the video evidence, but whether Nix's aggression was of such a degree and character that the appellant, from his standpoint, was reasonable in concluding (if, in fact, he did conclude) that he must immediately resort to deadly force to protect himself. Because there is no showing that appellant had any knowledge of Nix's history, proof of Nix's past misconduct while on duty was not relevant to this pivotal jury issue. We therefore conclude that any error in failing to admit the appellant's proffered testimony did not affect his substantial rights. Accordingly, we overrule his first point of error.

PUNISHMENT PHASE ISSUES

Evidentiary Sufficiency Issues

In his sixth point of error, the appellant challenges the legal sufficiency of the evidence to establish, beyond a reasonable doubt, that there is a probability he would commit criminal acts of violence that would constitute a continuing threat to society.²⁵ We review the evidence in the light most favorable to the jury's disposition.²⁶ As aptly summarized by the State in its brief, "the evidence [relevant to this special issue] showed appellant was a

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TEX. CODE CRIM. PROC. art. 37.071, § 2(b)(1).

veteran criminal and gang member fully immersed in a life of drugs, guns, and violence."²⁷ And indeed, the appellant expressly "concedes that some of the *Keeton* factors seem to cut against an argument of insufficiency of the evidence to support an affirmative finding to the future dangerousness special issue."²⁸ He argues, however, that there is insufficient evidence to support a jury finding, beyond a reasonable doubt, that he will probably continue to commit acts of violence in the setting in which he would find himself for the rest of his life under current law, namely prison. But, as we recently re-emphasized in *Estrada v. State*, ²⁹ our appellate review of the sufficiency of the evidence to establish future dangerousness is not limited to the defendant's probable conduct within prison walls, even with the advent of life-without-parole as the exclusive alternative to the death penalty for capital offenders. ³⁰ We hold that the evidence was legally sufficient to support the jury's determination that the appellant would commit criminal acts of violence that would constitute a continuing threat to society and overrule the appellant's sixth point of error.

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State's brief, at 46.

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Appellant's brief, at 52 (citing *Keeton v. State*, 724 S.W.2d 58, 61 (Tex. Crim. App. 1987)). The *Keeton* factors include the circumstances of the offense, the calculated or deliberate nature of the defendant's acts, the degree and severity of the defendant's prior criminal record, his age and personal circumstances, whether duress was involved, and any psychiatric or character evidence.

²⁹ 313 S.W.3d 274 (Tex. Crim. App. 2010)

Id. at 280-85 (even following the passage of life-without-parole in 2005, appellate review of legal sufficiency of the evidence to establish future dangerousness looks to defendant's likely conduct in the abstract, "whether [the defendant will be] in or out of prison").

In his seventh point of error, the appellant also argues that the evidence is factually insufficient to support the jury's finding of future dangerousness. We have never indulged claims of factual insufficiency with respect to future dangerousness.³¹ Moreover, this Court no longer entertains claims of factual insufficiency in capital-murder cases on issues for which the State bears the burden of proof.³² The appellant argues at some length that this Court's refusal to assay factual sufficiency of the evidence to support a jury finding of future dangerousness invidiously discriminates against capital-murder defendants, contrary to the Eighth and Fourteenth Amendments to the United States Constitution. Whatever validity this argument may once have held,³³ there is none now, in light of our recent rejection of factual sufficiency review across the board.³⁴ Accordingly, we overrule the appellant's seventh point of error.

Prosecutor's Comment Regarding Parole Eligibility

In his fifth point of error, the appellant claims that the prosecutor reversibly erred to suggest to the jury, during his examination of a State's witness, that the law might change at some future date to provide that a capital defendant, sentenced to life without parole,

Hunter v. State, 243 S.W.3d 664, 672 (Tex. Crim. App. 2007).

Martinez v. State, supra.

But see McGinn v. State, 961 S.W.2d 161, 169 (Tex. Crim. App. 1998).

Brooks v. State, 323 S.W.3d 893 (Tex. Crim. App. 2010).

would nevertheless be eligible for parole. He contends that, broaching this suggestion in the jury's presence, over the appellant's objection, and then reiterating it shortly thereafter over the appellant's renewed objection, violated his right to due process of law. He relies primarily upon the United States Supreme Court's plurality opinion in *Simmons v. South Carolina*. In our view, however, the objection that the appellant leveled at trial was insufficiently specific to preserve his present claim for appeal.

In an effort to counter what it anticipated to be the appellant's defensive posture at the punishment phase of the case, namely that the appellant would not pose a significant danger to society if assessed a sentence of life without parole, the State presented testimony from A. P. Merrilott. Merrilott is a long-time investigator with a special prosecution unit, based in Walker County, that prosecutes violent crimes committed within the state prison system. He testified with regard to the classification of incoming inmates, and specifically, non-death-sentenced capital offenders, within the prison system, describing the opportunities that such inmates have to commit violent acts while in prison. Toward the end of Merillott's direct-examination testimony, the relevant colloquy occurred:

- Q. [By Prosecutor] Recently Mr. Merrilott, the law has changed here in Texas to where if one is convicted of capital murder by a jury and sentenced to life, that defendant no longer has the option of parole; is that correct?
 - A. That's correct.
 - Q. So Mr. Ruiz is sentenced to life in this case based on the answers

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to the two special issues, he has no possibility or potential for parole?

- A. That's correct.
- Q. Unless the laws change sometime in the future?
- A. Right.

[Defense Counsel]: Your Honor, we would object to that as being improper. There is no evidence that the law is ever going to change and for him to imply that it may at some point in time is incorrect.

THE COURT: I will overrule that objection.

[Defense Counsel]: May we have a running objection on that?

THE COURT: You may.

[Defense Counsel]: To sit here and make these jurors think that somehow something is going to change in what they are sentencing him to –

[Prosecutor]: That's why I said unless, Judge, unless. I am not saying it is going to happen. I said unless.

[Defense Counsel]: Well, this is making the matter worse, he is saying that maybe it will happen and everybody in this room has to work on the premise that nothing is ever going to change about what we are told them [sic] in voir dire and what the law says now. So to imply that it is somehow, some way this is just a wink-and-a-nod process down here, is certainly improper.

THE COURT: Overrule your objection, [Defense Counsel].

- Q. (By [Prosecutor]) That's the law right now, is that correct?
- A. That's correct.

[Defense Counsel]: Once again, Your Honor, we would object to him going back to it by saying "That's the law right now," once again imply that somehow there is something in the works that will change what we all know is for the going to [sic].

THE COURT: Overruled.

Q. (By [Prosecutor]) Parole serves many purposes; is that correct?

[Defense Counsel]: We would object to talking about to parole [sic] because it is not available in this case.

[Prosecutor]: That's what I am getting to, Judge.

Q. (By [Prosecutor]) What purpose does parole serve?

[Defense Counsel]: Your Honor, once again we would object to this. It is not a factor in a case that's life without parole.

THE COURT: May I see the attorneys.

(Following proceedings had at Bench Conference.)

THE COURT: I presume you are going to tie parole up?

[Prosecutor]: Parole is for good behavior and the defendant will not have access to that. That's all I am going into it.

[Defense Counsel]: That's not the only incentive for good behavior.

[Prosecutor]: It is an accurate statement.

THE COURT: I will overrule the objection.

(End of Bench Conference.)

THE COURT: Overruled.

- Q. (By [Prosecutor]) The availability of parole for most of the general population inmates, that help to maintain order and security?
 - A. Yes, sir, it gives an inmate a reason to behave.

Q. And a capital murder life without parole, obviously parole is unavailable, does he have that incentive?

A. That incentive is not there for him. I don't know what it would take, the incentive is not there.

With this, the prosecutor turned briefly to other matters and then passed Merrilott to the defense for cross-examination.

Simmons held that, consistent with the Due Process Clause of the Fourteenth Amendment, a state "may not create a false dilemma by advancing generalized arguments regarding the defendant's future dangerousness while, at the same time, preventing the jury from learning that the defendant never will be released on parole."³⁶ In the instant case, the jury was fully aware that, under current Texas law, the only alternative to the death penalty for a defendant convicted of capital murder is life without parole. Nevertheless, in an extrapolation of the due-process principle announced in Simmons, the appellant argues that the prosecutor's suggestion that the law might at some future point in time retroactively change with respect to parole eligibility for life-sentenced capital defendants deprived him of due process. We need not decide, however, whether Simmons's due-process holding can or should be extended in this way because, in any event, the appellant's trial objection was insufficiently specific to alert the trial court that the purported impropriety of the prosecutor's suggestion that the Legislature could someday retroactively change the parole law for lifesentenced capital defendants was grounded in federal due process.

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To preserve a complaint for appellate review, a party must first timely lodge his complaint in the trial court by way of a "request, objection, or motion that . . . stated the grounds for the ruling that the complaining party sought from the trial court with sufficient specificity to make the trial court aware of the complaint, unless the specific grounds were apparent from the context[.]"37 Here, when the prosecutor suggested that, if the appellant were sentenced to life, he would not be eligible for parole "[u]nless the laws change sometime in the future[,]" the appellant timely objected, but the immediately stated ground for his objection was simply that this suggestion was "improper." He did not explain what he believed to be improper about the suggestion, and a precise basis for the purported impropriety would not have been readily apparent to the trial court from the context. He next suggested that there was "no evidence" in the record "that the law is ever going to change" and that to imply that it would change "is incorrect." If we construe this to constitute an objection that the prosecutor was arguing facts outside of the record, 38 then we observe that the appellant's Simmons due-process complaint on appeal fails to comport with the objection raised at trial. And the appellant's further trial assertion that the prosecutor's suggestion is "incorrect" provides no clearer basis for the ruling he sought from the trial judge than did his

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TEX. R. APP. P. 33.1(a)(1)(A) (emphasis supplied).

See George E. Dix & Robert O. Dawson, 43 TEXAS PRACTICE: CRIMINAL PRACTICE AND PROCEDURE § 37.21 (2d ed. 2001), at 611 ("The most fundamental rule of closing argument is that counsel must confine their arguments to the evidence that was introduced during the trial and to reasonable deductions from that evidence.").

naked assertion that the suggestion was "improper." While it is abundantly clear from the context *what* the appellant was objecting to, the "grounds for the ruling" he sought are not.³⁹ "A trial objection must specify the legal theory later relied upon on appeal."⁴⁰ The appellant's initial objection here did not. It is true that the appellant persisted in his objection, but his later iteration that the prosecutor's suggestion was simply "improper" likewise failed to identify a legal basis for the ruling he sought. We hold that the appellant failed to preserve his presently asserted *Simmons*-derived complaint for appeal.

In addition, we hold that, any error that may have emanated from the prosecutor's suggestion with respect to parole eligibility, on the particular facts of this case, did not contribute to the jury's answer to the statutory special punishment issues and was therefore harmless beyond a reasonable doubt.⁴¹ The prosecutor's suggestion was not part of any broader strategy (as was the case in *Simmons*) to persuade the jury that it should find that the appellant constitutes a continuing threat to society because the evidence shows he is

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TEX. R. APP. P. 33.1(a)(1)(A).

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George E. Dix & Robert O. Dawson, 43A TEXAS PRACTICE: CRIMINAL PRACTICE AND PROCEDURE § 42.94 (2d ed. 2001), at 283.

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For purposes of this harm analysis, we will assume that the appellant did preserve his present Simmons-derived due-process complaint for appeal. We will also assume, without deciding, that the trial court erred to overrule the appellant's objection and that the objection preserved a constitutional error for appeal, invoking Rule 44.2(a) of the Rules of Appellate Procedure. See TEX. R. APP. P. 44.2(a) ("If the appellate record in a criminal case reveals constitutional error that is subject to harmless error review, the [reviewing court] must reverse a judgment of conviction or punishment unless the court determines beyond a reasonable doubt that the error did not contribute to the conviction or punishment.").

dangerous to the public at large and might someday, if not sentenced to death, be again released into that segment of society. Indeed, quite the opposite. As the balance of the testimony quoted above demonstrates, the suggestion occurred, more or less incidentally, in the context of a colloquy in which the prosecutor was attempting to show that the appellant would actually constitute a threat of violence even in the context of prison society.⁴² The prosecutor did not repeat his suggestion at any later point in the punishment proceedings. He never argued or intimated during his punishment summations that the jury should answer the first special issue affirmatively in order to protect itself by assuring that the appellant will never pose a future danger to the general public. In other words, the prosecutor did not broach the possibility that the parole law would change as part of any concerted scheme to convince the jury to impose the death penalty as an act of societal self-preservation, and the jurors were unlikely, on the record before us, to have construed it in that way. We are confident that the prosecutor's suggestion did not contribute to the jury's affirmative answer to the future-dangerousness special issue. We therefore hold that any error in failing to sustain the appellant's objection was harmless beyond a reasonable doubt. The appellant's fifth point of error is overruled.

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And indeed, in this context, what the prosecutor was really emphasizing was the appellant's parole *ineligibility*, in an attempt to persuade the jury that, in the absence of the "incentive" of parole to regulate his behavior, the appellant would be more likely to commit acts of violence in the penitentiary.

Constitutionality of Article 37.071

The appellant maintains in his eighth point of error that Article 37.071, Section 2(f)(4)'s definition of mitigating evidence is unconstitutionally narrow insofar as it fails to accommodate jury consideration of any mitigating evidence that does not directly reduce a capital defendant's moral blameworthiness. We have repeatedly reject this claim,⁴³ and do so again today. The appellant's eighth point of error is overruled.

As a corollary to his eighth point of error, the appellant argues in his ninth point of error that the trial court erred in refusing to submit a jury instruction that he requested at the close of the punishment phase that would have expressly informed the jury that its consideration of mitigating evidence was not limited to that evidence which directly reduces his "moral blameworthiness";⁴⁴ they could also, according to this proposed instruction, "consider any other evidence that would justify a sentence of life without parole." The trial court's refusal to submit this requested instruction, the appellant contends, caused him "some harm" under Almanza v. State. 45 He argues that, because his requested instruction was not an incorrect instruction in contemplation of our case law, it was therefore "law of the case"

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Lucero v. State, 246 S.W.3d 86, 96 & n.11 (Tex. Crim. App. 2008).

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TEX. CODE CRIM. PROC. art. 37.071, § 2(f)(4).

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⁶⁸⁶ S.W.2d 157, 171 (Tex. Crim. App. 1985) (op. on State's motion for reh'g).

for purposes of Article 36.14 of the Code of Criminal Procedure.⁴⁶ We recently rejected an almost identical claim in *Coble v. State*,⁴⁷ in which we observed that the statutory definition of mitigating evidence contains no "nexus" requirement, and that prior case law has

never suggested that a jury can, should, or must be instructed not to consider any nexus between the crime and the mitigating evidence. Such an instruction would be necessary only if the jury would be reasonably likely to infer a nexus requirement from the statutory words. That is not the case.⁴⁸

That the appellant's proposed jury instruction was not incorrect does not mean that the trial court was necessarily obliged to submit it under Article 36.14 if the court's charge was otherwise adequate to instruct the jury on "the law applicable to the case." Having rejected a substantially similar argument in *Coble*, we reject the appellant's argument today. His ninth point of error is overruled.

In his point of error number ten, the appellant challenges the constitutionality of that aspect of Article 37.071 which requires at least ten negative votes before the jury is authorized to return a negative verdict on any of the special punishment issues.⁴⁹ We have

Id.

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See Tex Code Crim. Proc. art. 36.14 ("in each felony case . . . the judge shall, before the argument begins, deliver to the jury . . . a written charge distinctly setting forth the law applicable to the case[.]").

S.W.3d ___ (Tex. Crim. App., No. AP-76,019, 2010 WL 3984713, delivered October 13, 2010) (slip op. at *23).

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TEX. CODE CRIM. PROC. art. 37.071, §§ 2(d) & (f)(2).

rejected this challenge to the so-called "10-12 rule" on many prior occasions, ⁵⁰ and we reject it today as well. We overrule the appellant's tenth point of error.

In his eleventh point of error, the appellant complains that the future-dangerousness special issue is unconstitutional because it fails to define "probability," "criminal acts of violence," and "continuing threat to society." We have previously rejected this claim, 52 and do so again in the instant case. We overrule the appellant's eleventh point of error.

In his twelfth and thirteenth points of error, the appellant invokes *Ring v. Arizona*⁵³ and *Apprendi v. New Jersey*⁵⁴ to argue that the mitigation special issue is unconstitutional to the extent that it fails to place the burden of proof upon the State, and to a level of confidence beyond a reasonable doubt, to demonstrate an absence of mitigating circumstances sufficient to justify imposition of a life sentence.⁵⁵ We have consistently declined so to hold,⁵⁶ and we

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See Tex. Code Crim. Proc. art. 37.071, § 2(e)(1) ("The court shall instruct the jury that if the jury returns an affirmative finding to each issue submitted under Subsection (b), it shall answer the following issue: . . . Whether, taking into consideration all of the evidence, including the

Russeau v. State, 171 S.W.3d 871, 886 (Tex. Crim. App. 2005).

See TEX. CODE CRIM. PROC. art. 37.071, § 2(b)(1) ("On conclusion of the presentation of the [punishment phase] evidence, the court shall submit the following issues to the jury: . . . whether there is a probability that the defendant would commit criminal acts of violence that would constitute a continuing threat to society[.]").

Coble v. State, supra (slip op. at *24 & n.150).
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536 U.S. 584 (2002).
54
530 U.S. 466 (2000).

likewise reject the appellant's argument today. The appellant's twelfth and thirteenth points of error are overruled.

Finally, in his fourteenth point of error, the appellant contends that Article 37.071 violates the Texas constitution because it simultaneously restricts and allows open-ended jury discretion in imposing the death penalty, relying on Justice Blackmun's dissenting opinion in Callins v. Collins.⁵⁷ Having previously rejected this claim,⁵⁸ we likewise reject it now. The appellant's fourteenth point of error is overruled.

CONCLUSION

The judgment of the trial court is affirmed.

DELIVERED: March 2, 2011 DO NOT PUBLISH

See, e.g., Escamilla v. State, 143 S.W.3d 814, 828 (Tex. Crim. App. 2004) (rejecting identical claim under both federal and state constitutions).

circumstances of the offense, the defendant's character and background, and the personal moral culpability of the defendant, there is a sufficient mitigating circumstance or circumstances to warrant a sentence of life imprisonment without parole rather than a death sentence be imposed.").

^{.56} Segundo v. State, 270 S.W.3d 79, 102 (Tex. Crim. App. 2008). 510 U.S. 1141 (1994) (Blackmun, J., dissenting).